STATE OF NEW YORK

SUPREME COURT

COUNTY OF ALBANY

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In the Matter of the Application of PROTECT THE ADIRONDACKS! INC.,

Plaintiff-Petitioner,

Index No.
2137-13

-against-

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION and ADIRONDACK PARK AGENCY,

Defendants-Respondents.

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BEFORE: HON. GERALD W. CONNOLLY

Acting Supreme Court Justice

APPEARANCES:

For the Plaintiff:

JOHN W. CAFFRY, ESQUIRE
CLAUDIA K. BRAYMER, ESQUIRE
WILLIAM F. DEMAREST, III, ESQUIRE

For the Defendants:

LORETTA SIMON, ESQUIRE
MEREDITH G. LEE-CLARK, ESQUIRE
Assistant Attorneys General

Transcript of **NON-JURY TRIAL** taken in the above matter held at the Albany County Courthouse, Albany, New York, on March 1, 2017.

## VOLUME I

## March 1, 2017 - Pages 1 - 130

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(On February 28, 2017, Plaintiff's
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         Exhibits 1 through 25 and Defendants' Exhibits A
         through CP were marked for identification.)
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                   (Proceedings commenced on March 1, 2017,
         at 10:45 a.m. as follows.)
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                   THE COURT: Okay. This is the matter of
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         Protect the Adirondacks!, Incorporated against New
         York State Department of Environmental
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         Conservation and Adirondack Park Agency, index
         number 2137 of 2013. The matter is before the
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         Court this morning for a nonjury trial. Counsel,
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         all set to proceed?
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                   MR. CAFFRY: Yes, your Honor.
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                   THE COURT: Place your appearances on
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         the record, please.
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                   MR. CAFFRY: Yes. John Caffry &
         Flower for the plaintiff. With me today are
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         Claudia Braymer of Caffry & Flower, LLC of Glens
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         Falls, and William Demarest of Tooher & Barone and
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         also at counsel table is Peter Bauer, executive
         director of the plaintiff.
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                   THE COURT: Go ahead.
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                   MS. SIMON: Good morning, your Honor.
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         Loretta Simon, assistant attorney general, and
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1 Meredith Lee-Clark, assistant attorney general, 2 and with us we have Jim Townsend with the 3 Adirondack Park Agency, Mike Naughton with the Department of Environmental Conservation, and Linda Friedman with the attorney general's office. 5 6 THE COURT: All right. And you're all 7 set to proceed? MS. SIMON: Yes, your Honor. 9 THE COURT: Thank you. And welcome. 10 Counsel, it's my understanding from my 11 court clerk that you have switched tables from 12 those normally assigned because of some 13 difficulties with hearing at times for all of you 1 4 and this would be more convenient for counsel. 15 bring that up solely to state that I have some of 16 the same difficulties myself, and so while I appreciate you standing to address the Court, I'm 17 18 actually more comfortable if you address the Court 19 from a seated position because you can pull those 2.0 mics over in front of you and I can hear you 21 better as well. Okay? 22 MS. SIMON: That would be great. 23 THE COURT: So speak slowly and clearly 24 into the microphone and then both our court

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reporter and I will be able to catch everything
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         that is stated. It's my understanding that there
         are some stipulations to be placed on the record,
 3
         is that correct?
                   MS. SIMON: Yes. We have two
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 6
         stipulations. I've brought copies and one is a
 7
         stipulation regarding factual assertions for trial
         on the first cause of action signed by John Caffry
 9
         on 2/27/17 and signed by me, Loretta Simon, on
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         June 27, '17. Shall I continue?
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                   THE COURT: Let's do them one at a time.
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                   MS. SIMON: Okay.
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                   THE COURT: So Mr. Caffry, is that
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         correct?
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                   MR. CAFFRY: Also I've now been taught
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         how to turn on the microphone.
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                   THE COURT: Turning it off is more
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         important.
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                   MR. CAFFRY: Yes.
                                      There are two
2.0
         stipulations which I have signed.
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                   THE COURT: Okay. Let's start with the
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         first one that Miss Simon just referenced on the
23
         record. Are you requesting that that be marked as
         Court's Exhibit 1 and received into evidence?
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                   MS. SIMON: Yes, please.
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                   THE COURT: The Court will accept the
 3
         stipulation. We'll have that stipulation that was
         just referenced marked as Court's Exhibit 1 and we
         will receive that stipulation into evidence as
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         Court's 1.
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                   MS. SIMON: May I approach?
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                   THE COURT: You may.
                   MS. SIMON: May I continue?
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                   THE COURT:
                               Yes.
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                   MS. SIMON: We have a separate
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         stipulation regarding documents for trial on the
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         first cause of action and that is signed by John
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         Caffry also on 2/27/17 and myself on 2/27/17 and
         it has an attachment A with a list of documents.
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         It also has a CD, two CDs, and I would like to add
         this to the Court's record.
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                   THE COURT: Mr. Caffry, do you join in
         that stipulation and request?
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                   MR. CAFFRY: Yes, we do. It's our
21
         understanding that there may actually be four
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         disks.
               Yeah. Some of the folders, your Honor,
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         have multiple disks in them.
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                   MS. SIMON: I stand corrected.
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1 THE COURT: All right. 2 MS. SIMON: I sit corrected. 3 MR. CAFFRY: A total of four disks, yes. THE COURT: Okay. As stated, that second stipulation which the Court will have 5 6 marked as Court's Exhibit 2 if accepted by the 7 Court and the terms of the stipulation are received into evidence with the references to it 9 being received into evidence. 10 MS. SIMON: May I approach. 11 THE COURT: You may. 12 (Court's Exhibits 1 and 2 marked for 13 identification.) 14 THE COURT: Counsel, and I appreciate 15 your request for permission to approach, just for ease and economy going forward, you both -- all 16 counsel have my standing permission to approach 17 18 and to approach witnesses unless and until that 19 permission is withdrawn which it could be upon any 2.0 abuse of the privilege. Okay? 21 MS. SIMON: Thank you. 22 THE COURT: Go ahead. 23 MR. CAFFRY: Thank you, your Honor. 24 THE COURT: You're welcome. Anything

further, Miss Simon, with regard to stipulations? 1 2 MS. SIMON: The one thing -- I'm glad 3 you raised the hearing issue and I am hoping I'll be able to hear everyone well enough, but you are aware of that because I've raised it in other 5 6 arguments. So thank you. THE COURT: We're in a nonjury trial. 7 There's much less chance of disruption of the 8 9 proceedings. If you're concerned about something, please, for you and Mr. Caffry, follow my lead. 10 11 If there is anything you're not sure at all you heard, that's what our court reporter is here for, 12 to have it read back, okay? 13 1 4 MS. SIMON: Thank you. 15 MR. CAFFRY: Thank you. 16 THE COURT: Anything else before we get 17 started? 18 MR. CAFFRY: No, your Honor. 19 THE COURT: All right. Mr. Caffry, you 2.0 have requested in our discussions off the record 21 that both parties give an opening statement in 22 which they give an overview of what they intend to 23 prove and how they intend to prove it. So please 24 go ahead.

MR. CAFFRY: Thank you, your Honor.

2.0

New York Constitution Article XIV,

Section 1 provides in pertinent part that the

lands of the state now owned or hereafter acquired

constituting the Forest Preserve as now fixed by

law shall be forever kept as wild forest lands.

They should not be leased, sold or exchanged or be

taken by any corporation, public or private, nor

shall the timber thereon be sold, removed or

destroyed.

The proof will show that the defendants by adopting a plan to build a system of the so-called Class II Community Connector Trail snowmobile trails, which are nine feet wide and wider in some spots with the extensive removal of trees vegetation, bedrock, building of substantial bridges and the like, and by building the first 25 plus miles of the trails and planning to build more miles of them have violated Article XIV. The plaintiff believes nothing less than the future of the Forest Preserve as wild forest lands is at stake here.

Your Honor, your decision of

January 25th of this year set forth the legal

standards by which you will judge this issue and I will just paraphrase. One is whether the cutting has and will continue to result in the cutting or removal of trees through an unconstitutional extent which is a substantial extent or any material degree. These trails also constitute an improper use of the Forest Preserve and paring such wild forest lands to an unconstitutional extent. At pages 21 to 22 of your decision you set out several issues of fact that you believe needed to be resolved through the proof introduced at trial, one of them being what constitutes timber for purposes of the Constitution and whether only trees greater than or equal to three inches diameter at breast height or DBH should be counted. We will show that timber includes trees of all sizes. We will present testimony to establish that. This will include the testimony of Dr. Philip Terrie who is an eminent Adirondack historian, and he will address the topic, among others, of the contrary usage of the term timber at the time of the Constitutional Convention of 1894 when Article XIV was adopted. This will show that timber does not include just

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large merchantable trees. Our forest ecology expert, Steven Signell, will address the nature of trees less than three inches in diameter and the role in the ecology of the forest and show that there is no ecological basis to exclude trees under three inches in diameter from the ambit of the Constitution.

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Other questions identified in your decision included exactly which trails are at issue, the length, and the exact number of trees three inches DBH or more that were cut or will be cut. We have stipulated to those facts. in the stipulation number one that was Court's Number 1 that was just admitted. That shows that approximately 28 miles of trails have been cut or identified to be cut soon and almost 6200 trees of three inches DBH or greater have been cut or are about to be cut. We will show by -- you also identified an issue, again, of whether trees less than three inches DBH should be counted. We will show, if you find that they should be counted, we intend to show by the testimony of our expert, Steven Signell, based on his extensive field work on the trails counted an additional 18,000 or more trees under three inches DBH that have been cut or are planned to be cut, which brings the total of large and small trees to almost 25,000. We believe this shows that under both of the relevant court decisions, that this cutting of trees is a substantial or material amount and is unconstitutional.

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The last question that you identified at pages 21 and 22 of your decision was, again paraphrasing, whether closing of trails in remote interior areas has occurred and to what extent. Again, Mr. Signell will testify based upon his field work where he went out and fact checked all or field verified each of the trails which the defendants allege or we believe will allege were closed when the Seventh Lake Mountain Trail was constructed. His testimony would show that the snowmobile trails that they've designated as closed either continue to remain open and be used for other purposes so that closing them to snowmobile use in the winter does not mean that they are going to revegetate in any way because they will be continue to be used by other types of vehicles, hikers, and other perhaps bicycles

during the other months of the year and so they will not revert to their natural wild forest state, or there are others that he observed and will testify to that were abandoned many years ago as evidenced by the fact that they're completely overgrown and there is trees growing up in them and are not being used so that the administrative closure on paper of these trails achieves nothing. They were already on their way to growing back and there is -- it's, in effect, only a paper closure.

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In addition, your decision raised a question of the impairment of the wild forest lands. Mr. Signell will testify that because of the cutting of trees for the construction of these trails, the forest canopy of branches of trees on both the trees that were removed in particular, the forest canopy has opened up significantly on a very significant portion of the trail where he did a great amount of field work, that being the Seventh Lake Mountain Trail, and that as a result of that and the fact that the defendants planted grass on much of this trail perhaps as ground cover for areas that had been disturbed during construction, the forest floor within the trail

corridor in many places has been taken over by grass which is not a natural forest condition anymore than a lawn is a natural forest condition. Therefore, we believe that impairs the wild forest nature of the land to an unconstitutional extent.

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I believe your decision also raised a question of whether these trails are distinguishable from the trails that were at issue in the Balsam Lake case in the '90s where the trail in question, there was a cross country ski trail similar to a hiking trail, and perhaps it's even going to be used as a hiking trail, but we will have, among others, our expert on trail construction and maintenance, William Amadon, will testify that these snowmobile trails, which much more resemble road than a trail, are not comparable to a foot trail such as was found to be acceptable in the Balsam Lake case. trails are so much wider, so much more grading occurs, and so much other alteration of the terrains, they do not resemble a foot trail that's been designed to modern standards. There are some foot trails that are built on old roads that have been abandoned or closed and they may be wider,

but a current properly-designed foot trail is much different from these Class II snowmobile trails.

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We will also have Dr. Ronald Sutherland, a forest ecologist, who will testify to the adverse impacts on the environment of the forest from the construction of these trails. This will include such things, but not limited to, erosion, noise, and the general forest ecology and related impacts.

We will also show by the defendants' own admissions in their pleadings and deposition testimony that this is a unified system of trails and should be considered by the Court as a whole and not be considered in segments based upon trail segments or multiple trails. We believe if it's treated as a whole, that a cumulative total of all the trees and all the other damage should be considered together.

We had intended to show that the defendants' approved administrative plans, policies and procedures do not determine the constitutionality of the Class II snowmobile trails but the defendants have argued at times and we believe have represented to the Court that that

is not their position, so we do not intend to present that testimony at this time.

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And I'd also like to point out that during discovery we attempted to obtain discovery of documents on that issue and Justice Ceresia barred us from obtaining them on the grounds that they basically were not relevant. In your recent decision this issue was argued. I don't believe it was expressly addressed but we take that to mean that perhaps you didn't see it as significant. So we do not intend at this time to call the witness that we had planned to call, Robert Glennon, to testify on that issue.

Likewise, at this time we will not read into the record from the deposition transcripts of the defendants' employees on issues related to that topic. As I said, I do intend to do that on a different topic, but on two of the three topics we intend to do that related to these administrative plans, guidances, manuals. At this time we do not intend to do that. We reserve the right to do so later in the course of the testimony that perhaps requires rebuttal, but at this time we don't intend to do so. I know we had

talked about that being today but we're at this
point not doing it.

2.0

In conclusion, we believe that the proof will show that the defendants' actions violate

Article XIV both because of the number of trees

cut and because of the impairment of the wild

forest nature of the Forest Preserve resulting

from the construction of the trails.

THE COURT: Thank you. All set, Miss Simon?

MS. SIMON: Yes.

THE COURT: Please go ahead.

MS. SIMON: Thank you, your Honor.

Plaintiff bears the burden here to show the construction of these Class II trails that are nine feet wide and twelve feet on curves as deep slopes violate Article XIV, Section 1 of the Constitution. The Constitution not only protects these lands as forever wild but prohibits the removal of timber in that it can't be sold, removed or destroyed. However, the Forest Preserve is also for the use and enjoyment of the public and these trails, like all other trails, provide public access while protecting the Forest

Preserve, and the standard that you have set forth for us to address is the cutting or removal to a substantial extent or material degree the trees that are in our opinion timber size, but we will address that and whether these trails impair the wild forest lands. These trails, like all other trails in the Forest Preserve, do not impair the forest to a substantial extent. We will show that timber by forestry standards does not include seedlings or saplings, does not include trees smaller than three inches diameter at breast height which I will refer to as DBH. That timber by forestry standards is generally greater than three inches diameter at breast height and that this three-inch standard which dates to the Court of Appeals decision in the Association for the Protection of the Adirondacks versus McDonald in the record at page 12, they counted trees, timber size trees, are referred to in the decision of three inches or greater. This standard is also DEC policy. We will show that foresters have not removed any timber from the Forest Preserve nor have they removed any trees. All the timber that has been cut remains on the forest floor and

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becomes habitat, rocks, remains. It becomes part of the forest, remains part of the Forest Preserve.

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We will show that the three-inch DBH standard is smaller than most forest industry standards for timber size trees. The state will show that foresters avoided cutting timber size trees where possible, that foresters counted every timber size tree by species and by size to be cut. They adjusted routes to protect sensitive areas, and the foresters did not cut higher than 12 feet to preserve the forest canopy. We will show through testimony that there is no clearcutting here. The petition in this case repeatedly refers to trail construction here as clearcutting. is not clearcutting, we will demonstrate, by ecological standards nor is it clearcutting by forestry standards.

We will show that the canopy has been substantially preserved here; that there are natural openings and openings -- small openings that will close as a result of the trail work but they are not substantial. We will show that these trail construction features that foresters use are

improvements over old wood roads and poorly constructed trails from the last century, and that the foresters took great care to construct sustainable trails and all of the features that are complained of in the complaint, features that are used to construct these trails like water bars, like bench cuts. These are techniques to preserve the forest. They use these techniques to stabilize trails so that when there is a tremendous storm, the trail doesn't get washed into a water body or into a wetland and for the safety of people using the trails and for the trails to be able to withstand public use. are features and techniques that are for sustainable, sound trail construction. We'll show that the trail tread is an important part of the sustainability.

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THE COURT: Say that again.

MS. SIMON: Trail tread. And we'll show that DEC constructs bridges to protect streams and rivers and wetlands. They complain of the number of bridges constructed. Bridges keep people out of the wetlands and out of the streams but they also provide a safety element and protect the

waterway. We'll show that foresters developed a trail tread to shed water off the trail and to withstand use.

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We will show that closing remote interior trails defragments the forest, closing them to snowmobile use defragments the forest and provides a benefit to the Forest Preserve. show that the foresters select routes to avoid deer wintering areas, to avoid wetlands, to avoid significant habitats, and we'll show that the 9-foot trail tread and, again, 12 feet on curve in steep slopes doesn't destroy the canopy. We will provide photographic evidence for each trail which will show that the surrounding forest is intact, it is a wild forest, and that these trails are a narrow strip through the forest, through the woods, that don't substantially impair that wild forest. We will show through aerial photographs, both leaf-on and leaf-off, that there is not a substantial disturbance of the canopy on these trails so timber is not being destroyed to a substantial extent. These lands are still wild forest but for the 9-foot path going through them, and this is consistent with the Appellate

Division's decision in the Balsam Lake case that trails are an acceptable use in the Forest

Preserve. That these Class II trails are one foot wider, we will show, does not have a substantial impact. They are very much like hiking trails.

We'll show that hiking trails are constructed in the same -- with the same erosion control features and that photographic evidence of these trails and foresters' testimony will show, particularly with the High Peaks area which gets intensive public use, these trails as a result are much wider and we'll demonstrate the need for sound construction.

2.0

For construction of classed trails which commenced between January 2012 and October 2014, we will provide testimony of the number of miles and acres opened and closed. We will show through testimony that this acreage is de minimis whether you look at it from the entire Forest Preserve, nearly 3 million acres, or whether you look at it within the forest unit, and we will show that through mapping the context for each trail, the location, the length and where it's located. We will show through work plans the species of each tree three-inch DBH cut, the bridges that were

built, the erosion control that was used and even the rocks that were removed or trimmed for each trail. Plaintiffs' expert, Dr. Howard, will testify that even if you take all of plaintiffs' -- did I say plaintiffs'? I meant defendants' experts. Defendants' expert, our expert, Dr. Howard, will testify that even if you accept plaintiff expert Mr. Signell's assertions on fragmentation, that there is still a benefit to the Forest Preserve of closing those interior trails to motor vehicle use and we will show that these trails are not roads in the Forest Preserve.

Because the Court of Appeals in McDonald said that the Forest Preserve is for the reasonable use and benefit of the public and a very considerable use may be made by campers and others without in any way interfering with this purpose of preserving them as wild forest lands, we assert that these trails, like all trails in the Forest Preserve, are a reasonable public use without interfering with the wild forest nature of the preserve. Thank you.

THE COURT: Thank you, Miss Simon.

Mr. Caffry, are you all set?

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                   MR. CAFFRY: Yes, your Honor.
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                   THE COURT: Please call your first
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         witness.
                   MR. CAFFRY: The plaintiff would call
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         Dr. Phillip Terrie.
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    THEREUPON,
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                         PHILIP TERRIE,
    Called as a witness, having been first duly sworn, was
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    examined and testified as follows:
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                   THE COURT: All right. Good morning,
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         Doctor. I'm Judge Connolly.
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                   THE WITNESS: How are you doing.
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                   THE COURT: I am well, thank you. I
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         hope you are, too.
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                   Just a couple things before you begin.
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         As you can see, we've got our court reporter
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         taking down verbatim everything that's said.
         Because of that, I need you to make sure when
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         you're answering questions, answer them nice and
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         clearly and in a verbal way. Stay away from head
         nods yes or no and uh-huhs and huh-uhs as, as I
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         understand it, they come out looking the same on
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         the transcript, does us no good.
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                   THE WITNESS: Yes, sir.
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1 THE COURT: If you hear an objection at 2 any point from Miss Simon and, for that matter, from Mr. Caffry when you're being asked a question 3 by the other counsel, don't answer the question unless I've had a chance to rule on the objection. 5 6 I'll tell you whether you can answer or not, okay? 7 THE WITNESS: Yes, sir. THE COURT: And if you're already 8 9 speaking and they object, just stop immediately 10 and I will step in and tell you whether you can 11 complete your answer. Understood? 12 THE WITNESS: Thank you. 13 THE COURT: Finally, if Miss Simon says 14 to you in the context of a question: I want a 15 yes-or-no answer to this question, you have three 16 possible answers at that point. Yes, no, and I can't do it. I can't give you a yes-or-no answer 17 18 to that question. No explanation for any one of the three. Understood? 19 2.0 THE WITNESS: Yes, sir. 21 THE COURT: Excellent. Thank you. All 22 set, Mr. Caffry? 23 MR. CAFFRY: Yes, your Honor. 24 THE COURT: Please go ahead.

## 1 DIRECT EXAMINATION BY MR. CAFFRY: Dr. Terrie, will you state your full name, 3 please? 5 Α My name is Philip Terrie. 6 And where do you currently reside? 7 I live in Ithaca, New York. Are you affiliated with the plaintiff, Protect the Adirondacks!, in any way? 9 10 Α Yes. I'm on the board of directors. 11 Could you please describe your educational 12 credentials? 13 I have an AB degree in English from Princeton 1 4 University and a Ph.D. in American Civilization from 15 George Washington University. 16 And what year did you obtain your Ph.D.? 17 Α 1979. And did you write a dissertation as part of 18 Q obtaining your Ph.D.? 19 2.0 I did. Α 21 And what was the subject? 22 The subject is the attitudes toward 23 wilderness in the Adirondacks from the beginning of 24 white contact to the establishment and constitutional

- (Terrie Direct by Mr. Caffry) 26 protection of the Forest Preserve. 1 2 And did that dissertation address the Constitutional Convention of 1894 and the adoption of 3 what's often known as the Forever Wild Clause? Yes, sir, it did. 5 6 And did you go right from college to graduate school or did you work in between? 7 I worked at the Adirondack Museum for two years, 1971 to '73, as a research assistant and 9 10 assistant curator of history. 11 Where is that museum located? 12 That's in Blue Mountain Lake, New York. 13 And is that within the Adirondack Park? 1 4 Α Right in the middle. 15 And what were your duties there at the 16
  - What did they include? museum?
- 17 I was conducting research for a major exhibit on outdoor recreation and sports in the Adirondacks, 18 19 the exhibit that was subsequently known as Woods and 2.0 Waters, and I researched a lot of primary documents on the history of forestry and how the state was dealing 21 22 with forestry and abuses thereof leading up to the end of the 19th century and beyond. 23

Did your duties include any work related to

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Article XIV of the Constitution at that time?
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              Yes. I was aware of the Constitution and the
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    creation of the Forest Preserve and I was always
 3
    observing the relationships between forestry,
   commercial forestry, and the Forest Preserve and the
 5
    constitutional protection.
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              Dr. Terrie, are you aware that when that
   provision was first adopted, it was actually part of
   Article VII of the Constitution?
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         A
             Yes.
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              And was it subsequently renumbered in the
12
   Constitution?
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              Yes, it was.
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              For ease of reference and to avoid
    confusion -- or what was it renumbered to?
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              It was originally Article VII, Section 7 in
   the Constitution written in 1894 and it was renumbered
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   Article XIV, Section 1 in the Constitutional Convention
   of 1938.
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2.0
            And does it remain with that number to this
    date?
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                   MS. SIMON: Objection, your Honor. He
23
        hasn't been entered as an expert yet.
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                   MR. CAFFRY: I'm asking --
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1 THE COURT: Let her finish. 2 MS. SIMON: I am waiting to hear if he's 3 going to be admitted as an expert before he starts testifying as to facts. THE COURT: Okay. So the Court's' 5 6 normal process with regard to expert testimony is 7 that the question may be asked, opinion questions may be asked by the propounding party, and if you object to the qualifications, you may make the 9 10 objection stating that they may not -- objection, 11 they may not answer this question because they do 12 not have sufficient qualifications to do so. 13 courts have mostly gotten away from proffer -from the propounding party saying, Judge, please 14 15 recognize this person as an expert. So if you're 16 objecting at this time to Dr. Terrie's qualifications, I will rule on your objection or I 17 18 can rule on any objections on individual questions based upon his qualifications. 19 2.0 MS. SIMON: Thank you for that 21 clarification. I'm okay with going forward. Ι 22 thought we were going to have him entered as an

MR. CAFFRY: Your Honor, if I may

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expert.

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         continue.
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                   THE COURT: Okay.
    BY MR. CAFFRY:
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              Dr. Terrie, before the objection I believe
    you said that in 1938 it was renumbered as Article XIV
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    Section 1, is that correct?
              I did.
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         Α
              And does it retain that number to this date
    to your knowledge?
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         Α
              It does.
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              For ease of reference and to avoid confusion
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    for everybody, would it be okay with you if during your
    testimony we referred to it as Article XIV even if
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    we're referring to 1894 when it was Article VII?
              Sure. Although I may slip and say Article
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    VII, Section 7 in which case remind me.
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              What was your first job after you earned your
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    Ph.D.?
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              The very first job I had was working at the
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    Alexandria Archeology Research Center in Alexandria,
    Virginia conducting a social history project.
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         Q Did you subsequently obtain any positions in
    academia?
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              Yes.
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1
              Could you tell me where that was?
              I applied for and was offered a job as an
 2
    assistant professor of English and American studies at
 3
    Bowling Green State University in Bowling Green, Ohio.
              And how long did you teach there?
 5
 6
              I began in the fall of 1980 and retired in
    2007, in May of 2007.
 7
              And what was -- by the time of your
    retirement, what was your official position with the
10
    university?
11
              I was a full professor of English, American
12
    studies and environmental studies.
13
              And what types of courses did you teach
14
    there?
15
              I taught a wide variety of courses. At the
    early part of my career, I emphasized courses in
16
    American literature and about halfway through, because
17
18
    of my publication record and because of staffing needs,
19
    I was increasingly teaching American cultural history
2.0
    and environmental studies -- environmental history I
21
    mean.
22
              Did that involve any time teaching about the
    Adirondacks or Article XIV and its history?
23
```

Well, I tried to work it in wherever I could

but sometimes the students from Ohio were not terribly 1 interested. And when you did do that, did you place it in 3 any larger context? I did. I often used it as an illustration of 5 6 the move toward conservation of natural resources that was occurring throughout the country beginning in the 7 years after the Civil War. Have you taught anywhere else? 9 Yes. After I retired, I had a visiting 10 11 professorship at the State University of New York in 12 Plattsburgh and then another one as the NEH 13 distinguished professor of environmental studies and 1 4 history at the State University of New York at Potsdam. 15 How long did you teach at Plattsburgh? That was a brief course. I was there for two 16 weeks and it was on -- I don't remember the exact 17 18 title. I think it was something like Wilderness in the Adirondacks. 19 2.0 And what did you teach at Potsdam? At Potsdam I had a full regular three-credit 21 22 course and it was titled roughly the History of the

Adirondacks in the Context of American Environmental

23

24

History.

```
And did either one of them involve
 1
    Article XIV and its adoption?
              Both of them did.
 3
              Could you please tell the Court about any
    books that you have written, may have written,
 5
    regarding the Adirondacks and in particular
    Article XIV, that included Article XIV?
 7
              In 1985 I published a book titled Forever
    Wild: Environmental Aesthetics and the Adirondack
 9
10
    Forest Preserve. That was an elaboration and an
11
    expansion of my dissertation. It covered attitudes
12
    toward wilderness both in establishing the policy and
13
    how these attitudes permeated the larger culture from
    the beginnings of any written evidence about northern
1 4
    New York up until what was then the present which would
15
    have been around 1980 or so. And I discussed the
16
    constitutional provision in that book.
17
18
         Q
              When you say the constitutional provision,
    you mean Article VII, ultimately Article XIV?
19
2.0
         Α
              Yes.
              And did you address the Constitutional
21
22
    Convention which was adopted?
23
              I did.
              And the lead-up to that?
24
```

- 1 A I did.
- 2 Q And did you write any other books that
- 3 addressed these subjects?
- A Well, I wrote a book called Wildlife and
- 5 Wilderness: A History of Adirondack Mammals which
- 6 touched on the Forest Preserve and how management
- 7 | thereof impacted wildlife habitat and policies. That's
- 8 | not a major part of that book but it's included in one
- 9 | chapter. And then in 1997 I published Contested
- 10 | Terrain: A New History of Nature and People in the
- 11 Adirondacks which was a reassessment of everything I
- 12 | had said in Forever Wild and including broadening the
- 13 story and giving a more nuanced approach to materials
- 14 that I discussed earlier.
- 15 Q What do you mean by more nuanced approach?
- 16 A Well, the Forever Wild is largely a book
- 17 | based on out of the area -- out of the Adirondacks
- 18 opinions and responses to wilderness and in Contested
- 19 Terrain I wanted to include the local voice.
- Q What do you mean by the local voice?
- 21 A Year-round inhabitants of the Adirondacks, of
- 22 the park.
- 23 Q Have you written book chapters and articles
- 24 about this subject?

1 I have. I've published an -- I'll start with 2 refereed articles which means peer-reviewed. In the 3 humanities we would say refereed -- an article in New York History on the Convention of 1894 and an article in New York History on the Convention of 1915, and I 5 dealt with the Constitution a bit and the establishment of the park in an article entitled -- it's in the 7 Hudson River Review -- One Broken -- One Grand Unbroken Domain and a magazine -- a journal published at Bard College. I think that's it. 10 11 Overall approximately how many papers, book chapters, articles and books have you written 12 13 addressing the subject of Article XIV, its adoption and 1 4 the process that led up to it? Including chapters and articles and 15 non-refereed publications, off the top of my head, I 16 would say 15 to 20. 17 18 Have you as part of your professional work 19 made public presentations to explain the history of the Adirondacks and/or Article XIV to the general public? 2.0 I have. Mostly on college campuses. 21 22 Have you been involved in any media productions, television productions, or the like? 23 Yes. I was a talking head in a production by 24

1 Plattsburgh public TV station known as Sentinel, The 2 Forest Preserve in 1985 talking about the evolution of the Forest Preserve and its constitutional protection; 3 and subsequent to that there was a documentary I think produced by the same station on the Sentinel of the 5 Park in 1892. And subsequent to that, in the early 20th century, a Buffalo public TV station did a 7 two-hour documentary on the Adirondacks including a lot of different topics but also the constitutional 10 protection and I was a talking head in that. 11 And I believe you said one of your areas of 12 teaching was American Cultural Studies, is that 13 correct? 1 4 That's right. 15 That term may not be familiar to many people. Could you explain to the judge what that involves and 16 how that may relate to your studies of Article XIV? 17 18 Α American Culture Studies is the interdisciplinary study of American civilization. 19 2.0 is very broad, and different people emphasize different aspects of it. In my case it was cultural values, 21 22 languages, literature, the way values and attitudes are 23 formed over time, and how they are reflected in expressive forms, in my case almost always written 24

24

expressive forms but occasionally in visual graphic and 1 paintings, things like that. And during the course of your scholarship and 3 writing on the subject of Article XIV, did you apply that type of process to your work? 5 Α I did. 7 And have you ever served as an expert witness in any type of legal proceeding? I was called an expert witness by the State 9 10 in the case of Thayer Lake versus Phil Brown. I think that's the exact title. 11 12 It's close. 13 Okay. It had to do with paddling rights on a 1 4 remote brook in the central Adirondacks and I was asked by the State to provide an affidavit in that case. 15 16 And when you say the State, was that any particular agency of the State? 17 18 That was the Department of Environmental Conservation. 19 2.0 And was that affidavit related to history? 21 It was. 22 Did it specifically address Article XIV in 23 any way?

No, it didn't, as a matter of fact.

```
1
              Could you please tell the judge about your
 2
    personal experiences and association with the
    Adirondack Park and the Forest Preserve outside of your
 3
    work in scholarship briefly?
              Well, I first visited the Adirondacks in 1966
 5
    at the age of 18 -- 17, actually, and completely fell
    in love with the place and have been spending as much
 7
    time as possible there ever since. I worked at the
    Adirondack Museum, as I said, for two years. I go back
    as often as I can. I have a camp on Long Lake, boat
10
11
    access only, since 1981. I'm a 46er.
12
              Could you explain what a 46er is?
13
              That is a club of those people who have
1 4
    climbed all the High Peaks with an elevation over
    4,000 feet according to the maps available around 1920.
15
              And how many of them are there on that list?
16
17
              There are 46.
18
              And I'm going to hand you what's been marked
    for identification as Plaintiff's Exhibit 1 and would
19
2.0
    you look that over while I return to my seat.
                   MS. SIMON: Your Honor, I don't have a
21
22
         copy of what that is. Could I see that?
23
                   THE COURT: Do you have a copy for
24
         Miss Simon?
```

```
1
                   MS. SIMON: Thank you.
 2
                   MR. CAFFRY: I think I'll wait and make
         sure Miss Simon has a chance to look at it.
 3
         thought we had previously given her a copy of this
         exhibit so I want to give her a chance.
 5
 6
                   THE COURT: Good enough.
 7
                   MS. SIMON: Thank you.
                   THE COURT: All set?
 9
                   MS. SIMON: Yes.
10
                   THE COURT: Go ahead.
11
    BY MR. CAFFRY:
12
              Dr. Terrie, do you recognize that document?
13
         Α
              I do.
1 4
         Q
              And can you tell the Court what it is?
15
         Α
              This is my curriculum vitae.
16
              And did you prepare that?
         0
17
              I did.
         Α
18
         Q
              And is it current or reasonably current?
              Reasonably current.
19
         Α
2.0
              Do you recall when you prepared it, when it
    was last updated?
21
22
              I would guess last August or September.
23
              And does it accurately describe your
24
    professional career, writings, and scholarship and such
```

```
things?
 1
 2
         Α
              It does.
 3
                   MR. CAFFRY: Your Honor, I would move
         admission of Exhibit Number 1.
 5
                   THE COURT: Miss Simon.
 6
                   MS. SIMON: No objection.
 7
                   THE COURT: Plaintiff's 1 is received
         into evidence.
                   (Plaintiff's Exhibit 1 received into
 9
10
         evidence.)
11
                   MR. CAFFRY: Your Honor, at this point I
12
         would request that Dr. Terrie be permitted to
13
         testify as an expert on subjects such as the
1 4
         history of the Adirondacks, the history of the
15
         Adirondack Forest Preserve and the Adirondack
16
         Park, and the cultural and political history of
17
         the Adirondacks and related subjects.
18
                   THE COURT: Okay. As I stated to
19
         Ms. Simon a few moments ago, the Court does not
2.0
         take a position with regard to such an application
         and does not entertain such applications even
21
22
         though typically that's an application that is
23
         more of a concern in a jury trial because the
         Court by taking that -- by responding to that
24
```

```
1
         question will typically -- they seem to be
 2
         bolstering or supporting the expert's
 3
         qualifications in front of the jury.
         Nevertheless, as a typical process I will only
         entertain objections to opinion questions and you
 5
 6
         can be heard on it at that point. So I'm not
 7
         going to recognize him as an expert at this time
         but you can ask your opinion questions.
 9
         Miss Simon objects to any of them as him being
10
         unqualified, I'll rule on it at that point.
11
                   MR. CAFFRY: Thank you for clarifying
12
         that.
13
                   THE COURT: Please continue.
1 4
    BY MR. CAFFRY:
              Dr. Terrie, in preparation for your testimony
15
    here today, can you tell us some of the major resources
16
    that you consulted before doing so? Again, shall we
17
18
    say the larger ones or the more significant ones.
19
              Okay. I looked into, in no particular order,
2.0
    I'm not sure I'm going to get these exactly in
    chronological order, but among the things I looked at
21
22
    were the Literature of Sport and Travel in the
23
    Adirondacks in the 19th Century. I looked at the
24
    Revised Record of the Constitutional Convention of 1894
```

1 and the documents produced thereat. I looked at the Revised Record of the Constitutional Convention of 1915 and the documents thereat. Because I hadn't done it 3 before, I went to the archives, I went through all the records of the 1938 Convention. 5 Excuse me. Which archives is that? 6 0 7 The New York State Archives. Located here in Albany? Q Right around the corner. 9 Α 10 Q. Please continue. And I looked -- that's about it. I looked at 11 the McDonald decision and I think that's pretty much 12 13 what I looked at. 1 4 Did you look at -- yes? 15 Thought of something else. I looked at a dictionary published in 1890 which was the standard 16 dictionary in American usage at that time. 17 18 And what was the -- who published that dictionary? 19 2.0 Merriam's International Dictionaries. That's not exactly the title but that's close. 21 22 And did you review --23 Excuse me. Beg your pardon. I said that

wrong. It's Webster's, not Merriam. I have a copy.

```
Can I look at the copy of my title page to make sure
 1
    I've got that right?
              Yes, you may, if there is no objection to
 3
    that.
 5
                   THE COURT: No objection, Miss Simon?
 6
                   MS. SIMON: No objection. To his book?
 7
              I sometimes say it wrong. It was Webster's
    International Dictionary of the English Language.
              And I believe you said that was the standard
 9
10
    dictionary at the time?
11
              That was the dictionary of record for
12
    American usage.
13
              And did you consult any popular -- excuse me.
1 4
    I believe you already said that. You said you
    consulted some popular literature. Anything in
15
    particular?
16
17
              Well, it's hard to look for exactly what
18
    you're trying to find in things like this but I looked
    through some of the major works of travel and
19
    exploration like Joel T. Headley's The Adirondack or
2.0
    Life in the Woods which was probably the most popular
21
22
    book about the Adirondacks; if not the most popular,
23
    the second most popular book of the 19th century. I
24
    looked at Murray which was probably the most popular
```

I looked in the Colvin Reports and a couple of 1 books published after the turn of century. Did you look at any popular publications or 3 newspapers? Yes, I did. 5 6 And with the exception perhaps of the 1938 7 Constitutional Convention record, are these all documents that you had previously read and previously consulted in the course of your scholarship on this 9 10 subject? 11 They were. 12 Do you know when the New York State Forest 13 Preserve was first created? 14 Α I do. 15 And when was that? 0 16 1885. Α 17 And how was it created? 0 18 Α By an act of the New York Legislature. 19 And do you know who proposed that law? I do. New York state was concerned about the 2.0 quality of the forests and it asked a botanist from 21 22 Harvard University named Charles Sprague Sargent to 23 investigate the forest and submit a report to the 24 Legislature, which he did, and that is known as the

```
Sargent Report.
 1
 2
              And it's your understanding or belief based
    on your scholarship that this is what led the
 3
    Legislature to adopt the statute creating the Forest
    Preserve?
 5
 6
              Yes. The language in the 1885 statute is
    remarkably similar to some of the key sentences and
 7
    recommendations in the Sargent Report.
              Well, could you tell us, for example, one of
 9
10
    those key sentences?
11
              The first suggests that the lands of the
12
    state be forever kept as wild forest lands appears in
13
    the Sargent Report.
1 4
              And based upon your studies and what you have
    read, did you see any indication or evidence of the
15
    political or popular concerns that led to this action?
16
17
                   MS. SIMON: Objection, relevance, your
18
         Honor. This is not about --
19
                   THE COURT: Do you want to be heard
2.0
         fully on it?
21
                   MS. SIMON: I'm sorry?
22
                   THE COURT: Do you want to be heard
23
         fully on your objection? Go ahead.
24
                   MS. SIMON: This is all very
```

1 interesting. I think the question is how much of 2 it is relevant to what happened on the ground 3 here, how many trees were removed, and how that conflicts the Constitution. This is history. This is not facts on the ground. We are at a 5 6 trial to determine facts and so I object to continued discussion of statutes prior to 1894 7 before the Constitution implemented the Forever 9 Wild Clause. 10 THE COURT: Mr. Caffry, do you wish to 11 be heard? Do you want to make an offer of proof 12 with regard to Dr. Terrie's testimony? 13 MR. CAFFRY: I think I would like to --1 4 I can do that. 15 THE COURT: Please do. 16 MR. CAFFRY: Through voir dire of the 17 witness or myself? 18 THE COURT: Through yourself. 19 MR. CAFFRY: Okay. Your Honor, in your 2.0 decision on the motion for summary judgment, one of the issues you laid out as a factual issue that 21 22 you thought needed to be developed at trial was, 23 quote, what constitutes timber for purposes of the 24 Constitution. That was on the list on pages 21

2

3

5

6

7

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18

19

2.0

21

22

23

24

and 22 as a factual issue, and it relates quite closely in our opinion to the question of whether trees of only three inches DBH or greater should be included within the definition of timber. was also another issue you had listed. We believe that the background of the Constitutional Convention of 1894, what led up to it, the concerns, are all part of the milieu that led the convention to propose and adopt the Article VII, now Article XIV. We also believe that as a general matter, it is appropriate for historians to testify as expert witnesses at trial on matters such as this where the events occurred a long time In the case of Oneida Indian Nation of Wisconsin v. State of New York, 732 F2d 261, Second Circuit 1984, the Court reversed the trial court -- and this was an Indian land claim but involved treaties and many other complicated issues -- but the Court reversed the lower court decision in part on the basis that the trial court was going to have to continue issues of law or consider, I'm sorry, issues of law and statutory construction against the historical background of the events surrounding the trees and the adoption

1 4

2.0

of the applicable portions of the Articles of
Confederation relied upon by the plaintiffs. And
of course, the Articles of Confederation were the
predecessor to the current United States
Constitution. And the Court went on to say, this
factual background in turn would probably be
derived from expert testimony of historians and
others and consideration by the Court of
contemporaneous documents and oral transmissions
because, of course, when dealing with Indian
nations of the time, they didn't have too many
documents of their own but the oral transmissions
were very important.

This case was cited, for instance, in

4 American Law Reports 7th, Article 4, Section 4,
in 2015 in an article entitled Propriety of

Expert Historian Testimony. Likewise, we also
would offer or I would also refer to a article
entitled Qualifying Historians as Expert

Witnesses in 130 Am Jur Proof of Facts 3d 89,
Section 22, which stated, "Historians may provide
valuable assistance to attorneys and triers of
fact," and then skipping ahead, "as expert
witnesses with respect to investigating and

2

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14

15

16

17

18

19

2.0

21

22

23

24

analyzing the meanings of the federal and state constitutions and statutes and local ordinances when originally adopted."

In the present case we think this is properly appropriate given the antiquity, if you will, of Article XIV. Again, there's no doubt that the ultimate decision on the law is yours, but as you identify in your decision, there is a factual component to that that needs to be resolved through testimony at trial. And, again, particularly with relation to older laws, these things can't be decided in a vacuum. There may be some legislative history, but the general knowledge that a court may have is not going to stretch back to 1894. So Dr. Terrie will provide the background that will inform the Court's decision on the meaning of timber for purposes of the Constitution at the time of the adoption and ratification of Article XIV. That will include its meaning and contemporary sources such as the dictionary that he mentioned, such as authors that he mentioned, the history leading to the adoption, statements in the debates at the time of the adoption, and the history of logging in the

2.0

Adirondacks which was a topic referred to by the defendants in their opening, all of which we think will help the Court understand what the delegates at the time meant by the word timber.

So we think that Dr. Terrie through his background, both his paper credentials in terms of teaching history, American culture and English, is uniquely qualified to do that. And then he has been studying and researching and writing on these issues for 40 years.

THE COURT: Okay.

MR. CAFFRY: I don't think there's anybody else that's been doing that. So we can brief it in our post-trial brief but we think that's why he should be allowed to testify to these issues.

THE COURT: All right. The Court will reserve decision on the objection and allow for further briefing on the issue in your post-trial briefs thereby allowing the testimony at this time in order to complete the record conditioned, of course, upon the Court's eventual acceptance or denial of some of that testimony. I do not, generally speaking, disagree with Miss Simon's

```
statement that the focused issue here is the facts
 1
         on the ground, so while I will allow you to
 2
         develop the issue, I will ask that you curtail it
 3
         to some degree. All right? But it's your case
         and I am allowing you to get into it.
 5
 6
                   MR. CAFFRY: Thank you, your Honor.
 7
                   THE COURT: Please go ahead.
                   MR. CAFFRY: Could I ask the
 9
         stenographer to read back the last question before
10
         the objection.
11
                   THE COURT: You may.
12
                   (Last question read by the reporter.)
13
              And this action was the creation of the
1 4
    Forest Preserve in '85.
15
              In 1885, yes?
              Widespread belief that commercial logging was
16
    destroying the Adirondacks and all its values.
17
18
         Q
              And this is a subject -- this is a subject
    you've researched or written upon before?
19
2.0
         Α
              It is.
              Pursuant to that statute, prior to 1894, who
21
22
    was responsible for managing the Forest Preserve?
23
              A forest commission.
24
              And what was the forest commission?
```

```
1
              Men appointed throughout the state to
    determine policies with respect to the Forest Preserve.
              And who appointed them?
 3
              The governor.
              And did that commission have any relationship
 5
    to the current defendant, the Department of
 6
    Environmental Conservation?
 7
              It was one of the predecessors of DEC.
              And did that statute contain any legal
 9
10
    limitations on whether the Forest Preserve land could
    be leased or sold?
11
12
                   MS. SIMON: Objection. This calls for a
13
         legal conclusion.
14
                   THE COURT: Overruled. If you know, you
15
         may answer.
16
              The question again, please?
17
              Did that Statute of 1885 contain any legal
18
    limits on whether the Forest Preserve land could be
    leased or sold?
19
2.0
              It could not be leased or sold.
              Did it contain any limits on logging or
21
22
    otherwise clearing it?
23
              Logging was permitted.
24
              And who would determine where logging would
```

```
be permitted?
 1
 2
              The Forest Commission.
              And during the time when the Forest
 3
    Commission controlled the Forest Preserve, did any
    public or political concerns arise about its management
 5
    of the Forest Preserve?
              Yes. The press almost universally condemned
 7
    the management of the Forest Commission in managing the
    Forest Preserve, accused the Forest Preserve of
10
    colluding with corrupt loggers, of presiding over
    destruction of state lands. There was an article in
11
12
    the -- a series of articles in the New York Times in
13
    I'm pretty sure 1889 accusing state officials of
1 4
    colluding with loggers to conduct irresponsible,
    inappropriate logging on state lands. There was an
15
    article in Harper's with graphic illustrations making
16
    the same point.
17
18
         Q
              And as part of your historical research on
19
    the Adirondacks that you previously referred to, have
2.0
    you studied the ways in which logging occurred in the
    Adirondacks in the late 19th century?
21
22
         Α
              I have.
23
              And could you tell the judge what you found?
              Well, back in -- today's standards it would
24
```

be considered just incredibly irresponsible and 1 2 ruthless. There was logging for lumber which included 3 timbers used in construction, and so forth, and that was certain soft woods. The trees were cut down, the tops were left on the ground, the bark was also left on 5 the ground, and fires often followed. More important, 7 I think, beginning in the years roughly around 1870, the process by which paper could be made from wood pulp came to dominate the logging industry in the 10 Adirondacks, and in the course of this much smaller 11 trees were cut and run down the rivers to pulp mills in 12 places like Glens Falls or Lyons Falls, Potsdam, and 13 the degree of devastation increased enormously once the 1 4 pulp industry became a part of the Adirondack logging picture, and that is a serious part of the picture by 15 16 the 1880s and it gets worse thereafter. It's important to note, I think, that pulp loggers often took very 17 18 small trees to be used in their operations. 19 When you say very small, can you tell us how 2.0 small, or don't you have any idea? If I may look at a document that describes 21 22 pulp operations going on in 1893, I can give a good 23 sense of the kinds of trees they were cutting. 24 Do you have that document with you here?

```
1
         Α
              I do.
 2
                   MR. CAFFRY: Your Honor, may the witness
         use the document subject to Miss Simon reviewing
 3
         the document? May the witness use it to refresh
         his recollection?
 5
                   THE COURT: Miss Simon?
 6
 7
                   MS. SIMON: Yes. Do I have it in this
         pile you gave me?
 9
                   MR. CAFFRY: I don't know. It's not
10
         something we intend to offer. He's just
11
         attempting to refresh his recollection.
12
         Dr. Terrie, please don't look at it until we
13
         resolve the question with Miss Simon.
1 4
                   MS. SIMON: As long as I can see it.
15
                   MR. CAFFRY: Would you like to look at
16
         it first?
17
                   MS. SIMON: Sure.
18
                   THE COURT: Go ahead. Why don't you
19
         grab it from the witness and provide it to
2.0
         Miss Simon.
21
                   (Document handed to Ms. Simon.)
22
                   THE COURT: Miss Simon.
23
                   MS. SIMON: Yes. I have a copy of it
24
         and I have no objection.
```

```
1
                   THE COURT: All right. Go ahead,
 2
         Doctor.
                   MR. CAFFRY: Your Honor, could I just
 3
         clarify one thing. I did not realize what
         document he was pulling out. It is one we may
 5
 6
         attempt to enter later but right now he's just
 7
         using it to refresh in response to a particular
         question.
 9
                   THE COURT: Understood.
10
                   THE WITNESS: Where was I?
                   MR. CAFFRY: Could you read back the
11
12
         last question, please.
13
                   (Last question read by the reporter.)
              All right. I remember. The document I'm
1 4
    referring to here is a report written by Verplanck
15
    Colvin who was a surveyor active in the Adirondacks
16
    working for the State from roughly 1870 until around
17
18
    1905. He surveyed state lines, he surveyed the High
    Peaks, he found lakes in the remote country. He's a
19
    monumental figure in Adirondack history and his reports
2.0
    are major parts of the documentary record of the
21
22
    Adirondacks.
23
              And just specifically to the question about
    how small trees may have been cut when they were cut
24
```

- 1 for pulp -- first can I ask you to clarify, is what
- 2 | you're holding there a piece of that actual report
- 3 | you're referring to or is it something else?
- A No. I'm sorry. This is a copy of a page
- 5 from my book Forever Wild with a long indented verbatim
- 6 quotation from a Colvin report.
- 7 Q And what page is that?
- 8 A It's page 89 of Forever Wild: Environmental
- 9 Aesthetics and the Adirondack Forest Preserve which was
- 10 published in 1985.
- 11 Q Please proceed.
- 12 A Verplanck Colvin was surveying a state line
- 13 | in the area of Ampersand Lake which is in the western
- 14 part of the High Peaks, I would guess Franklin County.
- 15 He was looking for an old state line and he ran into
- 16 | the manager of a forest company which I'm pretty sure
- 17 | was the Santa Clara Lumber Company who was active in
- 18 | that area. He was told by the agent, this is Colvin,
- 19 quote, they proposed to strip the forest from the
- 20 | slopes and ridges of Mount Seward, end quote. He went
- 21 on to say the following: The agent informed me that
- 22 | not only was the timber in the valley to be cut and
- 23 | removed but shoots were to be constructed far up toward
- 24 | the summit of the High Peaks so that not only logs fit

- for lumber could be sent down to the skidways but even 1 2 the small soft wood spruce timber would be thoroughly 3 cut for pulpwood as the company did not consider it desirable to keep and pay taxes upon these high upland where the trees are very slow growth. 5 6 To your knowledge, if it's within your realm 7 of your scholarship, do you know typically on the slopes of Mount Seward how small the trees may have been that they were referring to? 10 Yes. I've camped up there and bushwhacked 11 all over the Sewards. The kinds of trees near the tops 12 of the shoots that you can still see signs of the Santa 13 Clara Lumber Company when they logged up there at this 1 4 time, up until the '20s, reach way above the point at which trees become stunted. There are trees that at 15 breast height would be an inch through. 16 17 And moving on beyond that document, were 18 there any other industries of the time that may have 19 raised concerns about the type of logging that was 2.0 occurring? I can't think of anything like that, no. 21
- Q Dr. Terrie, do you know when the Adirondack
  23 Park was created?
- 24 A 1892.

- 1 Q And who did that?
- 2 A By act of the New York State Legislature.
- 3 Q In your opinion, based upon your scholarship
- 4 and research, did that have any measurable effect on
- 5 | the protection of the Forest Preserve?
- A No, it did not.
- 7 Q Can you tell the Court why?
- 8 A The creation of the park was to establish
- 9 | that part of northern New York in which the state had a
- 10 particular interest in which it was worried about the
- 11 destruction of the forest. It was to indicate, for one
- 12 thing, that area that it hoped to acquire for the
- 13 | Forest Preserve but did not have yet.
- 14 Q But it placed no restrictions on the Forest
- 15 Preserve?
- 16 A Did not change the actual policies with
- 17 respect to the Forest Preserve.
- 18 Q Did there come a time when the legal status
- 19 or the -- withdrawn. Did there come a time when the
- 20 state took further action to protect the Forest
- 21 Preserve?
- 22 A The following year, in 1893, the Legislature
- 23 | specifically stipulated a procedure for the state to
- 24 let contracts for logging on the Forest Preserve.

```
1
              And who would be -- did the Legislature
    actually pass a law?
              It did. And the Forest Commission would be
 3
    executing that law.
              And was there to your knowledge any public
 5
 6
    reaction to that?
 7
              There was vociferous objection to that law.
              By who that you're aware of?
 9
              By a lot of people. By sporting interests
    and others. For our purposes I think one of the main
10
11
    objectors was the New York Board of Trade and
12
    Transportation.
13
              What was that? Or who?
1 4
              Sort of a semi-official group based in New
15
    York City that was very concerned with the viability of
    New York state waterways for commerce.
16
17
           And do you know the nature of their
    objection?
18
              Yes. It played on objections that had been
19
    in the public discussion for a couple of decades at
2.0
    that point which feared lest Adirondack slopes be
21
22
    denuded, which was a word they commonly used at the
    time, the watershed essential to the viability of
23
```

commercial arteries like the Hudson River and Erie

- 1 | Canal would not be reliable.
- 2 Q And subsequent to that 1893 law, are you
- 3 aware of any further action taken with regard to the
- 4 Forest Preserve?
- 5 A Yes. The Board of Transportation, like
- 6 everyone else in New York, knew that the Constitutional
- 7 | Convention was convening in Albany in the summer of
- 8 1894. One of the elected delegates to that convention
- 9 was David McClure, a prominent attorney from Manhattan.
- 10 | The Board of Transportation approached McClure and
- 11 asked him to represent their position to the
- 12 | Constitutional Convention.
- 13 Q And can you just tell me very briefly what
- 14 | action if any the Constitutional Convention took with
- 15 | regard to the Forest Preserve?
- 16 A The Board of Transportation believed that the
- 17 | Forest Preserve Law of '85 and subsequent iterations
- 18 thereof, for example, the Law of 1893, were inadequate.
- 19 | That the Forest Preserve was not properly protected and
- 20 | was not doing the job that it was set out to do.
- 21 McClure went to the chair of the Constitutional
- 22 | Convention and asked that a committee on conservation
- 23 | be appointed and the chair agreed and appointed David
- 24 McClure as the chair of that committee to look into

```
what proper steps might be taken to further protect the
 1
    Forest Preserve.
                   MR. CAFFRY: Pardon us, your Honor.
 3
         We're looking through the exhibits. Hopefully the
         pile will become smaller as the day goes on.
 5
 6
              Dr. Terrie, I've just handed you what's been
    previously marked as Exhibit 10 for identification.
 7
    you have that before you?
              I do.
 9
         Α
10
                   MS. SIMON: Objection, your Honor.
11
         Could I please see what the document is.
12
                   THE COURT: Yes.
13
                   MS. SIMON: I have no idea what you're
14
         talking about.
15
                   THE COURT: You don't have a list?
                   MR. CAFFRY: It's on the list.
16
17
                   MS. SIMON: Thank you. I have it.
18
         Q
              Have you previously -- have you seen this
    document previously?
19
2.0
              I have.
21
              And can you tell the Court what it is?
22
              This is a report from the committee on the
23
    Forest Preserve submitted to the Constitutional
24
    Convention.
```

```
1
              And when you say this is a report, is it a
    copy of the report?
 3
              Yes.
              And were you previously familiar with this
    document?
 5
 6
         Α
              Yes, sir.
 7
              And how are you familiar with it?
              Through my research in the steps leading up
    to the establishment of the constitutional protection
 9
10
    of the Forest Preserve.
11
              Was this an official publication of the state
12
    of New York?
13
         Α
              It is.
14
                   MS. SIMON: Objection, your Honor.
15
         sorry.
16
                   THE COURT: That's okay. You can make
17
         your objection now.
                   MS. SIMON: We don't have a
18
19
         certification that this is an official document
2.0
         from the state of New York. I have no objection
         if he just wants to refresh his recollection about
21
22
         this, but this is not a certified copy and we did,
23
         if I might point out, have a list of items that we
         agreed to in our stipulation that if the Court
24
```

```
1
         wanted to take judicial notice of them, I don't
 2
         believe this document is on that list either.
                   THE COURT: So to the extent this is
 3
         being proffered as an exhibit, you're objecting?
                   MS. SIMON: Yes, your Honor.
 5
 6
                   MR. CAFFRY: If I may continue to
 7
         question the witness about it, we may be able to
         address that concern.
 9
                   THE COURT: You may.
    BY MR. CAFFRY:
10
              Dr. Terrie, I believe you previously
11
12
    mentioned the Revised Record of the Constitutional
13
    Convention of 1894?
1 4
              That's right.
15
              Was this document published at some point as
    part of that official Revised Record?
16
17
              I'm not sure if it was in those volumes
    called Revised Record. There was another volume
18
19
    published called Documents of the Convention published
2.0
    simultaneously with the Revised Record and I can't
    remember where in those volumes this document appears.
21
22
              And was it published by the same publishers
    or issued at the same time?
23
24
              Yes.
```

1 4

2.0

Q And do you know where you have observed this document previously?

A Either at the Adirondack Museum or in the Library of Congress or the State Archives. And the first time I ever saw it might have been the Library of Congress.

MR. CAFFRY: Your Honor, I would move the admission of this document based upon

Dr. Terrie's recognition of it and his testimony as to the sourcing. And the fact that it's certified, whether or not it's certified, is not the only way to introduce a public document into the record. Based upon his review and his research, I would assert that he's qualified to authenticate it for these purposes.

THE COURT: All right. I'm going to deny your proffer at this point. We will be breaking for lunch in about 10 minutes or so.

I'll give you permission to have some discussions with Dr. Terrie, permission to take a look at and see if it's included within one of your other areas that you've already stipulated to and you can come back to it. But for the time being there's not a sufficient foundation laid and,

```
accordingly, your proffer is denied.
 1
    BY MR. CAFFRY:
              Dr. Terrie --
 3
              What do I do with this? Hold it?
              You may continue to --
 5
         Q
 6
                   MR. CAFFRY: I believe he could also use
 7
         it to refresh, your Honor.
                   THE COURT: He can use it to refresh,
 8
 9
         you are correct. Go ahead.
10
              Did the report that you've referred to make
    any recommendations to the full convention regarding
11
12
    the Forest Preserve?
13
         Α
              It did.
14
              What did it recommend?
15
              Can I read the recommendation?
16
                   MS. SIMON: Objection.
17
                   THE COURT: The objection is sustained.
18
         Q
              In general do you know, based on your
19
    knowledge and perhaps your research from other sources
2.0
    or this document, do you know what the report
21
    recommended?
22
              It said roughly the lands of the state now
23
    constituting the Forest Preserve shall be forever kept
24
    as wild forest lands. Can I look real quick to refresh
```

```
my memory?
 1
 2
         0
              You may refresh your recollection.
                   MS. SIMON: Your Honor --
 3
              Nor shall the timber thereon be --
 5
                   THE COURT: Hang on.
 6
                   MS. SIMON: We object to him reading
 7
         from a document that has not gotten the proper
         foundation.
                   THE COURT: Miss Simon's objection is
 9
10
         sustained for two reasons. One, because he can't
11
         read from a document that is not in evidence and,
12
         two, because his testimony as you're eliciting it,
13
         Mr. Caffry, seems to be solely, well, refresh your
1 4
         recollection by looking at that, now tell me
15
         what's in there. So in effect you're violating
16
         the best evidence rule also by asking that
17
         question. He can use it to refresh his
18
         recollection on more general issues but he can't
19
         use it to simply say this is what's in there.
2.0
         Understood?
21
                   MR. CAFFRY: Understood.
22
                   THE COURT: That's my ruling. You may
23
         continue.
24
```

```
BY MR. CAFFRY:
 1
 2
              Dr. Terrie, to your knowledge did the report
    of the committee to the full convention recommend
 3
    anything with regard to the timber on the Forest
    Preserve lands?
 5
 6
              This recommendation? Yes.
 7
              This report. To your knowledge was that
    recommendation made?
 8
 9
         Α
              Yes, it was.
10
         Q
              And what did it recommend to your knowledge?
11
              That the timber could not be sold or removed.
12
              Was this report ultimately presented to the
         Q
13
    delegates of the full convention?
14
         Α
              It was.
15
              And do you know who did that?
         Q
16
              David McClure.
17
              And I believe you previously testified he was
18
    a delegate to the convention?
19
              He was.
2.0
              I believe you previously testified you
    reviewed the Revised Record of the Constitutional
21
22
    Convention of 1894, is that correct?
23
              I have.
24
              And when did you first review this document?
```

```
1
                   MS. SIMON: I'm sorry. I didn't hear
 2
         the question. When did what?
              When did you first review the Revised Record?
 3
              It would have been 1978.
              And have you reviewed it again since then?
 5
              I have.
 6
         Α
 7
              How recently?
         Q.
              Several times in the last few days.
              And over the last 40 years have you had other
 9
10
    occasions to review this document as part of your
11
    scholarship?
12
              Yes. When I was revising my dissertation for
13
    publication as a book in the early to mid 1980s.
14
                   MR. CAFFRY: Your Honor, this particular
15
         document -- I'm sorry. Withdrawn.
              Dr. Terrie, I've handed you what's been
16
17
    marked for identification as Exhibit 9. Do you have
18
    that before you?
19
              I do.
2.0
              Have you had a chance to look at it?
         0
              Yes, I have.
21
         Α
22
              And do you recognize it?
         Q
23
              I do.
         Α
24
              And can you just briefly tell me what it is?
```

```
This is a selection from volume 4 of the
 1
 2
    Revised Record of the New York State Constitutional
 3
    Convention of 1894 when the Forever Wild provision was
    introduced and discussed on the floor of the
    convention.
 5
                   MR. CAFFRY: Your Honor, I would like to
 6
 7
         point out that this document, Exhibit 9, is also
         on the list of documents that the parties have
 9
         stipulated as being suitable for judicial notice
10
         and self-authenticating, and at this point we
11
         would request that it be admitted into evidence.
12
                   MS. SIMON: I do object, your Honor.
13
         This is not the complete document and our
1 4
         stipulation was that the Court could take judicial
15
         notice of all the constitutional conventions.
         This is not the complete copy. There's no -- I
16
17
         object. It's not a certified copy.
                   THE COURT: Well, the certification
18
19
         would appear to be covered under the stipulation.
2.0
         Correct?
21
                   MS. SIMON: Of the complete record.
22
         This is not a complete record.
23
                   THE COURT: Go ahead.
24
                   MR. CAFFRY: May I just ask a question
```

```
to clarify it.
 1
    BY MR. CAFFRY:
              Dr. Terrie, have you reviewed the volume that
 3
    I believe you said was volume 4?
 5
         Α
              Yes.
              How thick is volume 4?
 6
 7
              Three or four inches. It's a doorstop.
                   MR. CAFFRY: Your Honor, we would
 9
         request that the pages which Dr. Terrie is
10
         currently holding from this volume be admitted
11
         into evidence in lieu of admitting the doorstop.
12
                   THE COURT: All right. I'm not going to
13
         adopt that language but Plaintiff's 9 is received
1 4
         into evidence over objection. Miss Simon, if at
15
         any time you wish to further supplement by adding
         any further portion of that record that you choose
16
17
         to, I think that would fall within both the terms
18
         of your stipulation as well as an appropriate act
19
         by you. Okay?
2.0
                   MS. SIMON: Okay.
                   THE COURT: So Plaintiff's 9 is received
21
22
         into evidence over objection.
23
                   (Plaintiff's Exhibit 9 received into
24
         evidence.)
```

```
1
                   THE COURT: Go ahead, Mr. Caffry.
                   MR. CAFFRY: Actually can we go off the
         record briefly?
 3
                   THE COURT: You can. Go ahead.
                   (Discussion off the record.)
 5
 6
                   THE COURT: We'll return at
 7
         approximately 1:15.
                   (Lunch recess taken at 12:15 p.m.)
 9
                   (Proceedings continued in open court at
10
         1:32 p.m.)
11
                   THE COURT: So we're back on the record
12
         in the matter of the Application of Protect the
13
         Adirondacks against New York State DEC and the
14
         Adirondack Park Agency. The Court has received a
15
         handwritten note from Mr. Karlin of the Times
         Union and Mr. Brown of the Adirondack Explorer
16
17
         asking if they can take cell phone pictures
18
         silently of the proceedings. I've had a
         discussion at the bench with counsel to ask if
19
20
         there was any objection to that request and I have
         been told by all counsel that there is no
21
22
         objection to that request. Is that correct,
23
         Counsel?
24
                   MS. SIMON:
                               Yes.
```

```
1
                   MR. CAFFRY: Yes, your Honor.
 2
                   THE COURT: All right. Accordingly,
 3
        Mr. Karlin and Mr. Brown, if you are here, you are
         granted permission. Thank you for the courtesy of
         asking before. All set to continue?
 5
 6
                   MR. CAFFRY: Yes, your Honor.
 7
                   THE COURT: Please go ahead. You can
         call Dr. Terrie back out. Doctor, you remain
 9
        under oath, sir.
10
                   (The witness resumed the witness stand.)
11
                   THE COURT: Go right ahead, Mr. Caffry.
12
                   MR. CAFFRY: Thank you, your Honor.
13
   BY MR. CAFFRY:
14
             Dr. Terrie, before the lunch break I believe
   the judge had just received into evidence Exhibit 9,
15
   the Revised Record of the Constitutional Convention of
16
17
   1894. Do you recall that?
18
        Α
              Yes.
19
                   THE WITNESS: Can I have that in my
2.0
        hand?
                   THE COURT: Yes, you may. It's in
21
22
        evidence.
23
         Q And does that record discuss the proposal
   that was put forth by the committee on the Forest
24
```

Preserve to the delegates? 1 2 Α Yes, it does. And what was that proposal? What were its 3 key provisions? The proposal was to continue the language of 5 the Forest Preserve Law of 1885 that the lands of the 6 state could never be alienated from the state with the 7 addition that the timber could not be sold or removed in its initial form. And when you say alienated, was that the 10 11 wording? 12 No, that was not the word. It was the lands 13 could not be sold or exchanged nor taken by any 1 4 corporation, public or private. 15 Thank you. And I believe you testified that Delegate McClure had presented this to the convention? 16 17 He did. Α 18 What were the reasons he gave in support of 19 passage of this proposal? 2.0 David McClure in a fairly lengthy statement to the convention argued that this was probably the 21 22 most important issue before the convention that year. 23 He began with a fairly lengthy discussion of the 24 Adirondacks as a recreational and spiritual retreat for

- (Terrie Direct by Mr. Caffry) 7 4 the health and sanity of the state of New York, and 1 then he read from several documents that he didn't write himself about the value of the forests for that 3 reason and also he added the rationale that the New York State Forest Preserve was essential to protecting 5 the watershed feeding commercial arteries in the 7 valleys below. And you said that he referenced some 9 documents. Can you tell us, tell the Court, what they 10 are? Let's see. He referenced a speech given by
- 11 A Let's see. He referenced a speech given by
  12 an assemblyman. I'm just drawing a blank on the name.
  13 Can I look? It's in here.
- 14 THE COURT: You may.
- 15 A Here it is. An Honorable George W. Smith of
  16 Herkimer who had spoken to the Assembly and quoted
  17 Smith on the importance of the intactness of the forest
  18 of the northern wilderness.
  - Q What page is that on?

19

- 20 A That's on page 129 of volume four of the
  21 Revised Record of the Constitutional Convention of the
  22 state of New York, May 8th, 1894 to September 29th,
  23 1894.
- 24 | Q Did he reference any other outside speakers?

```
1
                    He mentioned Verplanck Colvin, the same
 2
    surveyor that I mentioned in his account of the logging
    on the Sewards.
 3
              And what page of the record was that?
              Page 131.
 5
         Α
 6
              And what did he say with regard to Colvin?
 7
              How important the Adirondacks were to the
    watershed.
 8
              Was that Colvin's opinion or McClure's?
 9
10
              It was the opinion of a lot of people and
11
    Colvin was one of the first people to promote it with
12
    respect to the Adirondacks but McClure clearly
13
    subscribed to that apprehension.
14
                   MS. SIMON: Pardon me, your Honor.
15
         can't hear a thing he's saying.
16
                   THE COURT: Do you need a readback?
17
                   MS. SIMON: Just didn't catch that last
18
         bit when you turn your head away.
19
                   THE WITNESS: How is this?
2.0
                   THE COURT: We'll do a readback.
                                                      That's
21
         fine like that.
                   (Last answer read by the reporter.)
22
23
              And in your opinion, based upon your review
24
    of the record, did McClure emphasize any one of these
```

(Terrie - Direct by Mr. Caffry) 76 various reasons more than any others or... 1 2 Α Well, he led with the recreational and spiritual value of the Adirondacks and I think he said 3 that first at that point, and then he spoke at length on it and then he spoke at great length on the 5 6 watershed argument. Is that better? 7 MS. SIMON: No. THE COURT: Miss Simon, all I can say is 8 do the best that you can. If there is anything 9 10 that you're not sure that you heard properly, I'll 11 be more than generous in giving any readbacks you 12 need. Just let me know and you will get them. 13 Please go ahead, Mr. Caffry. BY MR. CAFFRY: 14 15 Did any of the other delegates state their support or disagreement with McClure about the reasons 16 17

for supporting passage of the proposal?

18

19

2.0

21

22

23

24

Α Everyone who spoke that day was generally in support of the McClure -- the form of the provision that came out of the McClure committee. Some were waxed eloquent and at length in their support. Others less so. And some of them requested changes, most of which were voted down, a few of which were accepted by McClure and became part of the final provision.

```
1
              And were any of those changes particularly
 2
    significant with regard to the timber on the Forest
    Preserve?
 3
              The key one is that a Delegate Goodale, I
    think, asked that the words or destroyed be added after
 5
    sold or removed.
 6
 7
              And that was with regard to the timber?
              Yes. Nor shall the timber thereon be sold,
    removed or destroyed.
10
              And how did that change the effect of that
11
    provision?
12
         Α
              Significantly.
13
                   MS. SIMON: Objection.
14
                   THE COURT: Sustained.
15
              Is there anything in the record of the
    Constitutional Convention that describes the
16
17
    significance of that change?
              Yes. The delegate who offered it argued that
18
    it was significantly common in those days for logging
19
    companies to build dams at the outlets of lakes
2.0
21
    throughout the Adirondacks which often flooded land
22
    that they didn't own. It flooded state land or other
23
    people's land. And these dams would have flooded lands
24
    that occasionally would have been in the Forest
```

```
Preserve and it would have drowned and destroyed the
 1
   timber.
              In your earlier testimony you discussed
 3
    concerns that had arisen in public prior to the
   convention about mismanagement and timber theft, I
 5
   believe, on the Forest Preserve. Do you recall that?
 6
 7
         Α
           Yes, sir.
                   MS. SIMON: Objection. Characterizing
         his testimony. I don't know that that was exactly
 9
10
        your testimony.
11
                   THE COURT: Understood. I'll keep an
12
         eye on it but I'll rely solely on the answers in
13
         making my determination in any event. So the
         objection is overruled. Please continue,
14
15
        Mr. Caffry.
              Did you answer the question, Dr. Terrie?
16
17
   sorry.
18
         A
              I think I did.
19
                   THE COURT: He did but we can have it
2.0
        read back. Go ahead.
21
                   (Last question and answer read by the
22
         reporter.)
23
              Were there any statements by the delegates
24
    regarding that concern?
```

protect?

24

1 Well, there was one particular articulate 2 statement made by a Delegate Brown. Can you tell us where in the record in 3 Exhibit 9 that is found? I think it begins on 155 and goes on into 5 156. 6 7 And can you give us the gist of that? We can't trust the state. Don't let them touch the Adirondacks. Don't let them take an ax in 10 there. Don't let them do anything. It must be locked 11 up and the door shut. 12 And in your four decades of study and 13 research on this Forever Wild provision, did you ever find anything, materials in this Revised Record, 1 4 Exhibit 9 or anywhere else, that showed that the 15 delegates intended to only protect trees, large trees 16 17 of merchantable size? 18 I have not. 19 And were there any statements regarding that 2.0 specifically during the convention? Not that I know of. 21 22 Were there any statements by Mr. McClure or 23 any other delegate regarding what they did seek to

```
1
                    There were several and they appear --
 2
    they're punctuated throughout the discussions that day.
    Quite often McClure and others talked about the timber,
 3
    the trees, the forest, the intact forest, the woods.
    All of those words appear in the discussions of the
 5
    importance to pass this provision.
 7
              And do you know what pages those appear at?
    Or are there too many to list?
              They're scattered. I could if you want to go
 9
10
    through this.
              That's okay. Did the convention ultimately
11
12
    take a vote on the proposed amendment with its various
13
    provisions?
1 4
              It did.
15
              And what was that vote?
              The convention voted unanimously to adopt
16
    what became Article VII, Section 7 and now Article XIV
17
18
    of the Constitution. It was the only provision
    unanimously adopted at that convention.
19
2.0
              Did the convention ultimately produce a
    comprehensive new Constitution?
21
22
              It did.
         Α
23
              And that included Article VII, Section 7?
24
              It did.
```

```
1
              And was that then submitted to the voters?
         Α
              It was.
              And when it was submitted to the voters, what
 3
    action did the voters take?
              The voters approved the new Constitution in
 5
 6
    the first week of November of 1894.
 7
              And when did that take effect?
              January 1st, 1895.
              As a result of that approval in 1894 by the
 9
10
    convention and the voters, who would have had the power
11
    after that to approve any significant alterations of
12
    the Forest Preserve?
13
              The people of the State of New York.
1 4
              Could the Legislature change that without
15
    them?
16
         Α
              No.
17
              And could the Executive branch do so?
18
         Α
              No.
19
                   MS. SIMON: Objection, your Honor.
2.0
         These are legal questions he's being asked.
21
                   THE COURT: Objection is sustained.
22
              Was this power that was granted to the
23
    people, do you have a professional opinion based upon
    your years of study of this issue why that was done,
24
```

```
why it was felt to be necessary?
 1
 2
              The convention led by David McClure and
    others believed that the state could not be given
 3
    responsibility for care of the Forest Preserve.
              And was that type of provision common at the
 5
 6
    time to your knowledge?
 7
              I don't think there was anything like that in
    the United States at that time.
              To your knowledge to this day is there
 9
10
    anything like it in the United States?
11
              I think not.
12
              Did the delegates provide a definition of the
13
    word timber in Article VII, Section 7?
14
         Α
              No.
15
              I believe you testified earlier about various
    sources that you consulted during your research. Did
16
17
    those include contemporary resources of the late 19th
18
    century?
19
              Yes.
2.0
              And I believe you testified to a dictionary,
21
    is that correct?
22
              I did.
         Α
23
              And could you again tell us what that
24
    dictionary was?
```

```
1
              Yes. May I pick up the page?
 2
                   THE COURT: Yes, you may.
              This was Webster's International Dictionary
 3
    of the English Language. The dictionary was published
    in a revised version in 1890 and it was then at that
 5
    point the most up-to-date current dictionary on
    American usage.
 7
              And have you previously reviewed this
 9
    dictionary prior to today?
10
         Α
              Yes, I have.
              Where did you find it?
11
12
              In the rare book library at Cornell
13
    University.
1 4
              And does that library have a particular name
15
    or anything?
              The Kroch Library, I believe down in the
16
17
    bowels of the...
18
                   MR. CAFFRY: Your Honor, at this point I
19
         would move admission of Plaintiff's Exhibit 7. It
2.0
         was item 3F on the stipulation of documents that
         already were considered to be authenticated.
21
22
                   THE COURT: What is Exhibit 7? Is that
23
         the --
24
                   MR. CAFFRY: Exhibit 7 is the current
```

```
1
         exhibit number. That's the excerpt from the
 2
         dictionary that the witness is holding and has
         been talking about.
 3
                   THE COURT: Miss Simon.
 5
                   MS. SIMON: I am just identifying it
         now. Hold on one second. Has this entered for
 6
 7
         identification?
 8
                   THE COURT: It's marked as 7,
 9
         apparently.
                   MR. CAFFRY: I'm sorry, your Honor. I
10
         still have the marked one. I believe he's --
11
12
                   THE COURT: But it is 7 on your list,
13
         correct?
                   MR. CAFFRY: Yes.
14
15
                   MS. SIMON: And it's four pages?
16
                   MR. CAFFRY: Yes.
17
                   THE COURT: Any objection, Miss Simon?
                   MS. SIMON: No.
18
19
                   THE COURT: Seven is received into
2.0
         evidence without objection.
21
                   (Plaintiff's Exhibit 7 received into
22
         evidence.)
23
    BY MR. CAFFRY:
24
              Dr. Terrie, I believe I made a minor
```

```
procedural slip-up. When you were talking about that
 1
 2
    document, you had a copy of it from your file perhaps
    and have I just now handed you the one that was
 3
    officially marked by the stenographer?
 5
              Yes. It's right there.
 6
              Thank you. And that's the one that the judge
 7
    just received in evidence?
         Α
              Yes.
              Does that dictionary contain any definitions
 9
10
    of the word timber?
11
         Α
              It does.
12
              And how many?
13
         Α
              Six or seven.
14
         Q
              Do you have that in front of you?
15
              I do.
         Α
16
              Could you tell us what some of them are?
17
              Timber has a long section on etymology.
18
                   MR. CAFFRY: Excuse me, Dr. Terrie.
19
         Your Honor, would you like a copy or do you need a
2.0
         copy?
21
                   THE COURT: I actually have it. He's
22
         using his own copy but I have 7. Thank you.
23
              And among the definitions it provides are
24
    that sort of wood which is proper for buildings or for
```

```
tools, utensils, furniture, carriages, fences, ships
 1
    and the like; the body stem or trunk of a tree;
 2
    material for any structure; a single piece of squared
 3
    stick of wood intended for building or already framed.
    Do you want me to read the entire definition for each
 5
 6
    one?
 7
                   THE COURT: You're asking me? It is in
         evidence. You may ask the question as you wish.
 9
              Are those the --
10
              I'm not finished.
11
              -- some of the major ones or do you have --
         Q
12
         Α
              More major ones to read.
13
         0
              Thank you.
1 4
         Α
              Woods or forest; a wooded land.
15
         Q
              Anymore major ones you would like to read?
              They're repetitive.
16
         Α
17
              Are the definitions in Exhibit 7 limited to
    the large trees of merchantable size?
18
19
              No, they are not.
2.0
              Is there any kind of size cutoff in terms of
    the diameter of the trees contained in the document?
21
22
              There is not.
23
              And I'm sorry if I missed this. Does it make
    any differentiation between living or dead trees or
24
```

```
other types of vegetation included?
 1
 2
         Α
              No.
              Does it specifically refer to that, dead or
 3
    living?
 5
         Α
              No.
 6
              I believe you mentioned the word stem, is
 7
    that correct?
 8
              I did.
         Α
 9
              That was one of the definitions?
10
              It's part of the second definition.
11
              Does Webster's, Exhibit 7, also define the
12
    word stem?
13
              It does.
         Α
14
              And is that definition contained in Exhibit
15
    7?
16
         Α
              It is.
17
              And could you read that, please?
18
                   MS. SIMON: Objection. Could we just
19
         read what's relevant here?
2.0
                   THE COURT: It is in evidence,
         Mr. Caffry. If you wish to make your point, you
21
22
         can briefly, but as it's in evidence, you can
23
         certainly draw my attention to it at the time that
         you write your findings of fact and conclusions of
24
```

```
1
               But if there is anything you wish to draw my
 2
         attention to particularly briefly with the doctor,
         I am going to request that you focus him on that.
 3
         You can direct him to focus on that.
                   MR. CAFFRY: There is one specific
 5
 6
         relationship between these two words that I would
 7
         like him to give his opinion on.
                   THE COURT: That's fine. You can do
 9
         some leading examination in order to direct him
10
         specifically to that area.
11
                   MR. CAFFRY: Okay.
12
              With regard to the -- could you just read us
13
    the definition of the word stem, please?
1 4
              The one that is relevant here is a little
    branch which connects a fruit, flower or leaf with a
15
    main branch.
16
17
              Does it also have any definitions related to
18
    standing trees as opposed to a branch?
19
              Yes. It says the principal body of a tree,
2.0
    shrub or plant of any kind.
              And does it refer to size at all?
21
22
         Α
              No.
23
              And how then does that definition interact
    with the definition of timber if at all?
24
```

```
It expands on it. It further explains it,
 1
 2
    that the word stem is in the definition of timber and
    here stem is defined.
 3
              I believe you testified also earlier that you
    reviewed some literature and other writings from the
 5
    era surrounding the Constitutional Convention, is that
    correct?
 7
              I did.
         A
 9
              In that literature how was the word timber
10
    used by writers in that era of the late 19th century?
11
              In general it reflects the multiple kinds of
12
    meanings that we saw in the definition of timber in
13
    Webster's. It sometimes is meant to mean individual
1 4
    trees. It sometimes means the forest. It can mean the
15
    forest as it covers the slope of a hillside. It could
    mean down trees. It is used in quite a number of ways.
16
17
              And was it limited to large or merchantable
18
    trees?
19
              I don't think so.
2.0
              And in your opinion, based on your years of
    research and your expertise in fields of English and
21
22
    otherwise, was it common for writers at the time to use
    the words tree and timber or forest interchangeably?
23
24
                   MS. SIMON: Objection.
```

```
1
                   THE COURT: Overruled. You may answer.
 2
              In my experience that overlap is common, or
 3
   was. I always use the present tense in describing the
   literature whenever it was written. I'm talking about
   the literature of the 19th century so I should say it
 5
 6
   was common.
 7
              Thank you for clarifying that. I believe you
    earlier mentioned a Mr. Headley, is that correct?
              Did I?
 9
         А
10
                   MS. SIMON: Could I hear that name
11
        again?
12
                   THE COURT: I would like it, too.
13
                   MR. CAFFRY: Okay. Withdrawn.
   BY MR. CAFFRY:
1 4
15
              When you discussed how the these terms were
    used in the 19th century, did you have any particular
16
17
    examples in mind?
18
              I did have one in particular because it was
19
    such a popular book. Joel T. Headley was a protestant
   minister who became a travel writer and popular
2.0
   historian. He is one of the most prolific American
21
22
   writers of the 19th century and he, because of a
23
   nervous breakdown or something, went camping in the
24
    Adirondacks in the 1840s and submitted accounts of his
```

- (Terrie Direct by Mr. Caffry) 91 1 trips in letters to newspapers in New York City. 2 Subsequently these were gathered into a book and 3 published in 1849 and the book was expanded, reprinted, and plagiarized and remained a popular book throughout the 19th century. 5 And besides being a writer, did Mr. Headley ever hold any public office? 7 Yes. He was secretary of the state of New York for a term in the '50s. 10 Q. The 1850s? 11 Α Yes. 12 And I'm sorry if you already answered this, 13 but was this book still in print at the time of the 1 4 Constitutional Convention of 1894? 15 Α It was. 16 And to your knowledge was it still popular at 17 that time?
- A Yes. It was referred to -- I once read
  through all the accounts of Adirondack camping trips in
  Forest & Stream, which was the most popular sporting
  journal of the day, and it was a common opening to an
  article: When I read Headley as a child, I knew that I
  wanted to go to the Adirondacks, or the like.

And this was even in the 1890s?

24

```
1
         Α
              Yes.
 2
              And did you have any personal involvement in
    the publication of Mr. Headley's writings?
 3
              There was a reprint of his 1875 edition
    issued by New York Press in 1984, I believe, and I was
 5
    the editor of that volume.
 7
              Dr. Terrie, I've just handed you what's been
    marked for identification as Exhibit 6. Do you have
    that?
              I do.
10
         Α
11
              Have you had a chance to review it?
12
         Α
              Yes, I have.
13
              And can you identify it?
         Q.
14
              This is the cover page and one page from
    Headley's The Adirondack.
15
16
              What year is that edition from?
17
              This is 1849.
18
              And does that contain the passage that you
    previously referred to? Withdrawn.
19
              Yes, it does.
2.0
21
              Does that contain a passage of significance
22
    to the definition of timber?
23
              It does.
24
                   MR. CAFFRY: Your Honor, I would at this
```

```
time move admission of Exhibit 6. It is item 3E
 1
 2
         on the list of stipulated documents.
 3
                   MS. SIMON: I would just renew my
         objection to the relevance of all this, but
 5
         otherwise...
 6
                   THE COURT: It's noted. The Court will
 7
         reserve on that objection. What is that, 3E did
         you say?
 9
                   MR. CAFFRY: On the list of stipulated
10
         documents as to its authenticity, it's Exhibit
         Number 6.
11
12
                   THE COURT: Thank you. Exhibit 6. So
13
         Plaintiff's Exhibit 6 is received into evidence
1 4
         with the reservation as noted and we need to get
15
        it marked before we do anything further unless
         you're commenting on -- let's mark it.
16
                   (Plaintiff's Exhibit 6 received into
17
18
         evidence.)
    BY MR. CAFFRY:
19
2.0
              Could you read to us the passage that you
    just referred to, at least the most important part?
21
22
              He is describing the adventures of John
23
    Cheney, a famous hunter guide of the mid 19th century,
    and says this about Cheney's adventures: Finding in
24
```

```
his long stretches through the wood, where the timber
 1
 2
    is so thick you cannot see an animal more than 15 rods,
    that a heavy rifle was a useless burden, he had a
 3
    pistol made about 11 inches in length.
              How far is a rod?
 5
 6
                   MS. SIMON: I didn't hear that question.
 7
         Sorry.
                   THE COURT: You can repeat it.
              How far is a rod?
 9
         0
10
              Sixteen feet, I believe.
11
              And what does that passage tell you about
12
    Headley's use of the word timber?
13
              It means a lot of little trees, because if
1 4
    these were mature, marketable logs in a forest with a
    closed canopy, there would be nothing growing on the
15
    forest floor other than big trunks and you could see a
16
17
    long way.
18
              When you referred to literature or documents
    you reviewed, did you have another example in mind?
19
2.0
         Α
              No.
              You previously discussed a report, I believe,
21
22
    by Verplanck Colvin. Do you recall that?
23
              Oh, yes. Yes.
              And that was I believe quoted -- you said it
24
```

```
was quoted in a part of your book?
 1
 2
         Α
              Yes.
              And, again, I believe you gave some
 3
    discussion of Verplanck Colvin and his significance but
    was he a public official at the time he wrote that
 5
    document?
 6
 7
              Yes. He was working for the State of New
    York as a surveyor.
              And before we proceed further with this,
 9
10
    Dr. Terrie, I would like to hand you the marked
    exhibit.
11
12
                   MS. SIMON: This number doesn't match
13
         up, I don't think, to your list. It's 27?
1 4
                   (Discussion off the record)
15
                   MS. SIMON: My list doesn't -- sorry.
16
         Their exhibit list doesn't match the exhibit list
17
         they gave me. Okay. So I have the resumé of
18
         Steve Signell as number 27 so can I get an updated
19
         list, please.
2.0
                   THE COURT: I don't see why not. Why
         don't we take a moment and walk down with your
21
22
         list and make a copy down in my chambers if you
23
         don't mind and you can give it right to her. That
24
         might move things along. I think I actually have
```

```
1
         one more conference on an unrelated matter that I
 2
         need to attend to. I believe they're out in the
         hall right now so I'll take care of that while you
 3
         make that copy for Ms. Simon. Okay? We'll be
         about five minutes or so, folks.
 5
 6
                   (Recess taken after which the
 7
         proceedings continued as follows.)
                   THE COURT: All set, Mr. Caffry?
 9
                   MR. CAFFRY: Thank you, your Honor.
    BY MR. CAFFRY:
10
11
              Dr. Terrie, I believe before the break
    Exhibit 6 had just been admitted into evidence and I'll
12
    try to speed things up. I'll try to paraphrase what
13
1 4
    you previously testified about. Do you have an Exhibit
15
    6 there?
16
              I've got an Exhibit 27.
17
              I'm sorry. I don't know where I got six.
18
    Thank you for correcting me.
                   THE COURT: I have six in evidence.
19
2.0
                   MR. CAFFRY: I'm sorry. I didn't make a
21
         note. I apologize, your Honor.
22
         Q I believe you said that this is pages from
23
    your book, correct?
24
              Yes, it is.
```

```
1
              And the title of that book was?
 2
         Α
              Forever Wild: Environmental Aesthetics and
    the Adirondack Forest Preserve published in 1985 and it
 3
    was subsequently published with a slightly different
    subtitle, republished by Syracuse in 1994.
 5
 6
                   MS. SIMON: Pardon me, your Honor.
         don't have that exhibit. I'm sorry.
 7
                   MR. CAFFRY: I just gave it to you this
 9
         morning. That's the one pager.
10
                   MS. SIMON: Okay. Just to clarify from
11
         the break, we broke to clarify what was Exhibit
         Number 26 and 7. I did get the one-page list with
12
13
         a handwritten change on it so I just wanted to
         make sure I have the right thing.
1 4
15
                   THE COURT: Of course.
    BY MR. CAFFRY:
16
17
              Regarding Exhibit 27, now that we've
18
    clarified this, you said that is a certain page or
    pages from your book Forever Wild?
19
2.0
         Α
              Yes.
21
              And on Exhibit 27 are there some notations on
22
    the bottom of the page?
23
              Yes, there are.
24
              And is that your handwriting?
```

```
It is.
 1
         Α
 2
         0
              And did you put those notations there?
              Yes.
 3
         Α
              Could you just tell us briefly what those
 5
    are?
              That is the exact source of the Colvin quote
 7
    that is at the bottom of my page 89.
              And is there any notes on the bottom of page
 8
 9
    88?
10
              Just the title of the book.
11
              And I believe you testified earlier that the
12
    block index or the block indented type on the lower
13
    right is a direct quote from the Colvin book?
14
         Α
              It is.
15
              And did you personally review the Colvin
16
    book?
17
              I did.
         Α
18
         Q
              And you saw fit to quote it at length in your
    own book?
19
2.0
              I did.
         A
              And that's a true and accurate copy of pages
21
22
    88 and 89 in your book except for the notations you put
23
    at the bottom?
24
              Absolutely. Yes, it is.
```

```
1
              And regarding the block quote, what was that
    quoted from?
              It was quoted from a document by Verplanck
 3
    Colvin called Report on the Progress of the State Land
    Survey, and all of his survey reports were Senate
 5
    documents and were sent to all the members of the
    Assembly and the Senate.
 7
              Of the New York State Assembly?
 9
              Yes.
10
              And do you have that actual book present, the
11
    Colvin Report?
12
              I do not.
13
              And why is that?
1 4
              They're very hard to find. They're in law
    libraries, state libraries, Adirondack Museum, a few
15
    personal collections.
16
17
              When you wrote the book, did you verify that
18
    that was an accurate quote?
              I looked at all of the originals of the
19
2.0
    Colvin Reports. The Adirondack Museum has a complete
21
    run.
22
                   MR. CAFFRY: Your Honor, at this time I
23
         would move admission of Exhibit 27 which is part
24
         of the book that was stipulated as Exhibit 3B on
```

```
1
         the stipulation of documents as to their
 2
         authenticity.
                   THE COURT: Miss Simon.
 3
                   MS. SIMON: Objection. This is the best
         evidence rule. The thing speaks for itself.
 5
 6
         this -- this has already been discussed earlier,
 7
         you know. I mean I object.
                   THE COURT: Your objection is noted.
 9
         With the exception of the reservation previously
10
         noted by the Court, 27 is received into evidence.
11
                   (Plaintiff's Exhibit 27 received into
12
         evidence.)
13
              Just briefly, for purposes of continuity,
1 4
    would you read the phrase or part of the sentence that
    includes the use of the word timber?
15
              The agent informed me that not only was the
16
    timber in the valley to be cut and removed but shoots
17
    were to be constructed far up towards the summit of the
18
    High Peaks so that not only logs fit for lumber could
19
2.0
    be sent down to the skidways but even a small softwood
    spruce timber would be thoroughly cut for pulpwood.
21
22
              And is this a document that would potentially
23
    have been available to the delegates of the 1894
24
    Convention?
```

```
1
              I think it would have been easily available.
 2
    And since many of those delegates were member of the
 3
    Assembly or Senate, it would have passed across their
    desks.
                   THE COURT: Counsel, I'm sorry, I'm
 5
 6
         going to need to take another moment. I've just
 7
         got an emergency note on an unrelated case here
         that I need to go take care of. We're going to
         call this our afternoon recess so you've got 10
 9
10
         minutes or so, folks, and then we're going to work
11
         with luck straight through until 4:30. Okay?
12
         Thank you. I apologize.
13
                   (Recess taken after which the
1 4
         proceedings continued as follows.)
15
                   THE COURT: Thank you, folks. Please be
16
         seated, Dr. Terrie. Go ahead, Mr. Caffry.
17
    BY MR. CAFFRY:
18
              Dr. Terrie, who is Louis Marshall?
19
              I always say Louie Marshall. He was a
2.0
    prominent civil rights lawyer from Syracuse, then
    practicing in Manhattan. He was from Syracuse and he
21
22
    moved to New York and he owned property on the Lower
23
    Saranac Lake and was a delegate to both the 1894 and
    1915 Constitutional Conventions.
24
```

1

2

3

5

6

7

9

10

11

12

13

14

15

16

17

18

19

2.0

21

22

23

24

Q And aside from owning property on Lower Saranac Lake --

MS. SIMON: Objection. Not in evidence.

And can I renew an objection, your Honor, with

your permission --

THE COURT: Go ahead.

MS. SIMON: -- from this morning because, okay, here's my objection: Much of this testimony, my objection is beyond the scope. beyond what the Court set forth in its January 25th, 2017 decision. Much of it is not relevant as to what happened on the ground here in this case and construction of these trails. Constitutional Convention matters that have been raised, we agree in our stipulation with the Court if you would take judicial notice of them. oppose these being entered into the record in evidence when they're uncertified and I oppose readings and books and other matters which are not evidence in this trial and other matters that are speculation with regard to the history and with regard to what the delegates to the convention thought. I wanted to renew it. I don't want to interrupt the Court and the proceeding

```
1
         continuously. I may still object when I feel I
 2
         need to but this is well beyond the scope of what
         I understood your order to be today. Thank you.
 3
                   THE COURT: All right. The Court will
         reserve on your objection. You may proceed.
 5
                   MR. CAFFRY: Yes, your Honor. And I
 6
 7
         believe this is the exhibit I'm intending to ask
         the witness about soon is going to be the last one
 9
         I offer through this witness.
10
                   THE COURT: Okay.
11
                   MR. CAFFRY: There were many others on
12
        our list. We do not intend to get into them or
13
        offer them at this time.
1 4
                   THE COURT: Good enough. Please go
15
         ahead.
   BY MR. CAFFRY:
16
              You mentioned a Constitutional Convention in
17
18
    1915. Briefly why was one held in 1915?
              Because the earlier Constitution called for a
19
2.0
   vote after 20 years on -- called for a plebiscite, a
   referendum of New York voters without calling another
21
22
    Constitution, and it passed. That was in 1914 and a
    convention met in the summer of 1915.
23
24
            And was article -- yes or no: Was
```

```
Article XIV as it's now known, the Forever Wild Clause,
 1
    was it debated at that Constitution?
 3
              It was.
              I'm sorry. Before the objection I was asking
    you and I will have to go back. Did Marshall have any
 5
    other connections to the Adirondacks or the High Peaks?
 7
              He owned property on Lower Saranac Lake with
    his family and his children were the first 46ers.
              And is there a Mount Marshall in the High
 9
10
    Peaks?
11
              There is.
12
              Who is that named after?
13
              Robert Marshall, Louis's son.
1 4
              During 1915 did Marshall make any public
    statements or produce any documents that addressed his
15
    beliefs as to the meaning of Article XIV as adopted in
16
17
    1894 when he was a delegate?
18
                   MS. SIMON: Objection. Hearsay.
                   THE COURT: Sustained.
19
2.0
              Have you seen any such documents, Dr. Terrie?
              I have.
21
22
              Dr. Terrie, I've handed you what's been
    marked as Exhibit 26 for identification. Do you have
23
24
    that in front of you?
```

```
1
              I do.
         Α
              Do you recognize that document?
              I do.
 3
         Α
              Can you briefly describe what it is?
              This is an article written by Louis Marshall
 5
         Α
    for the New York Times on October 24th, 1915 shortly
    before the vote on the amendment submitted to the
 7
    people for vote in November.
              And did you locate this article somewhere?
 9
              I did.
10
         Α
11
              Where did you locate it?
12
              I found it in the online archives of the New
13
    York Times.
1 4
              And is that a way that historians or others
    would typically locate old articles from the New York
15
    Times?
16
17
              Very commonly done that way, yes.
18
              As part of the professional practice that you
19
    have been engaged in, that's how these things are done?
2.0
         Α
              Yes.
              And is it the type of document that a
21
22
    historian would typically rely on in doing their
    research and analysis?
23
24
              I do all the time.
```

```
1
              Are there any handwritten notations on this
    document?
              The pages are numbered and I think that's it
 3
    on this one.
 5
              How many pages are there?
 6
              There are 14 but the document is not that
 7
    long. There are a lot of duplications because of the
    way it was laid out on the screen.
              And did you put those numbers on there?
 9
10
         A
              I did.
11
              Other than those labels, do you believe it's
    an accurate copy of what you observed on the screen on
12
13
    the New York Times archive?
1 4
              I absolutely do.
15
                   MR. CAFFRY: Your Honor, I would move
16
         this document, Exhibit 26, be admitted into
17
         evidence.
18
                   THE COURT: Miss Simon.
19
                   MS. SIMON: Objection.
2.0
                   THE COURT: What's the objection?
                   MS. SIMON: It's hearsay, it's not
21
22
         relevant, and it's a popular publication. And my
23
         blanket objection is I would --
24
                   MR. CAFFRY: May I be heard, your Honor?
```

1 THE COURT: You may. 2 MR. CAFFRY: Under CPLR 4532, newspapers are self-authenticating. Obviously it's not 3 possible in this day and age to go get a copy of the 1915 New York Times and bring it in here. 5 6 It's no longer on the newsstand. But Dr. Terrie has testified as to how he secured this document 7 and that it comes from a reliable source and how he obtained it from the source. 9 10 THE COURT: Plaintiff's 26 is received 11 into evidence with the sole reservation, as 12 previously stated by the Court, that the Court 13 will consider the positions and arguments and the 1 4 statements -- excuse me -- findings of fact and 15 conclusions of law with regard to the relevance 16 issue. Your objection is noted. (Plaintiff's Exhibit 26 received into 17 18 evidence.) 19 THE COURT: Go ahead, Mr. Caffry. 2.0 BY MR. CAFFRY: Dr. Terrie, does this document, Exhibit 26, 21 22 contain anything that to you as a historian indicates 23 the views of Louis Marshall as to the intention of the 24 Constitutional Convention of 1894 or at least for

```
1
    himself with regard to what was protected under
    Article XIV?
                   MS. SIMON: Objection. Calls for
 3
         speculation as to Mr. Marshall's intention.
                   THE COURT: Well, I don't know if it
 5
 6
         calls for speculation is the correct objection but
 7
         I think an objection is appropriate in that,
         again, you're simply referring, sounds like,
         Mr. Caffry, you're proffering this article, which
 9
10
         is self-authenticating, but simply for Mr.
         Marshall's statement as to what was meant in 1894.
11
12
         To that extent, I believe it is inappropriate
13
         hearsay even though it's a foundation for the
1 4
         article itself to overcome a baseline hearsay
15
         exception. So it's hearsay within hearsay. So on
16
         that ground I am inclined to grant the
17
         objection -- excuse me -- to deny the proffer of
18
         26 unless there's another reason you're offering
19
         it.
2.0
                   MR. CAFFRY: Your Honor, the witness has
         testified that Mr. Marshall was a delegate in
21
22
         1894.
23
                   THE COURT: He has.
24
                   MR. CAFFRY: And in effect if he wrote
```

```
1
         something in this article or column or whatever it
         was that indicated his belief as to that meaning,
         we would believe that would be relevant as part of
 3
         in effect the legislative history as if a
         congressman made a statement as to why he voted on
 5
 6
         a particular record or bill.
 7
                   THE COURT: There is legislative
         histories. They are typically contained within
 9
         the bill jackets or the formal proceedings which
10
         you've already referenced and from the
11
         Constitutional Convention. On the grounds that
         I've stated, your proffer is denied. Plaintiff's
12
         26 is stricken from evidence in this case.
13
1 4
    BY MR. CAFFRY:
15
              Dr. Terrie, you've already testified that
    there was a convention in 1915.
16
17
         Α
              Yes.
18
              Did that convention propose to the voters a
19
    new convention?
2.0
         Α
              A new --
21
         Q
              I'm sorry. A new Constitution.
22
         Α
              Yes.
23
              And did that new Constitution include within
24
    it a Forest Preserve provision?
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```
1
         Α
              Yes, it did.
 2
              And what was the fate of that Constitution
    when it was sent to the voters?
 3
              It was rejected.
              And I believe you've testified you in fact
 5
 6
    have written about the Convention of 1915, is that
 7
    correct?
         Α
              I have.
              And to your knowledge was the rejection of
 9
10
    that Constitution in any way related to the Forest
11
    Preserve provision?
12
              My understanding --
13
                   MS. SIMON: Objection. Leading
14
         question. He could ask him what it relates to.
15
                   THE COURT: I'm going to overrule your
16
         objection as leading. It's not clear to me how
17
         that answer could possibly be given but I'll
18
         overrule the objection and we'll see what answer
19
         Dr. Terrie gives.
2.0
                   MR. CAFFRY: I'll rephrase, your Honor.
21
         Maybe that will help.
22
                   THE COURT: That will be fine.
23
              Dr. Terrie, as a result of your research and
24
    study and writing about the Constitutional Convention
```

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of 1915 and the proposed Constitution that resulted
 1
 2
    from it, have you come across any writings or other
    documents or anything that would indicate that it was
 3
    denied for any reason related to the Forest Preserve
    provision?
 5
 6
              I have not.
              And after that was there a subsequent
 7
    Constitutional Convention?
 8
 9
              1938.
         Α
10
              And have you studied the record of that
11
    convention?
12
         A
              I have.
13
              And did that convention propose a new
    Constitution to the voters?
14
15
              Yes, it did.
         Α
16
              And did that convention include a Forest
17
    Preserve provision?
18
         Α
              Yes.
19
              Was the wording of that provision any
2.0
    different from the wording of the 1894 Constitution?
21
              It was exactly the same.
         Α
22
              With regard to the Forest Preserve?
         Q
23
         Α
              Yes.
              And that was then submitted to the voters.
24
```

```
What did the voters do with it?
 1
 2
              It was submitted to the voters in nine
    packages. Several of them passed, several of them went
 3
    down. The one including the Forest Preserve passed.
              And is that the convention at which the
 5
         Q
 6
    numbering of the provision was changed?
 7
              Yes, it was.
         Α
              And that change in number was approved by the
    voters?
 9
10
         Α
              Yes.
11
              To your knowledge has -- since 1938 -- you
12
    say it was readopted exactly the same as 1894?
13
              That's right.
         Α
14
              Since 1938 has Article XIV, Section 1 been
15
    amended?
16
         Α
              Yes.
17
              Approximately how many times?
         Q
18
         Α
              Ten? I don't -- I wasn't ready for that one.
19
              Many?
         Q
2.0
         Α
              Several.
21
              Several. Thank you. And in your opinion
22
    have any of those changes to Article XIV since 1938
23
    changed the meaning of timber as it was originally
    intended in the Constitution of 1894?
24
```

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1
                   MS. SIMON: Objection.
 2
                   THE COURT: Sustained.
              Did any of those amendments directly provide
 3
    a definition of timber?
 5
         Α
              No.
 6
              Did any of those amendments change the phrase
    of the Constitution regarding timber?
 7
              No.
         Α
 9
              To your knowledge does that language today in
10
    Article XIV, Section 1, is that identical to the
11
    language that was adopted in 1894?
12
              Yes, it is.
13
                   MR. CAFFRY: Your Honor, I have no
1 4
         further questions for this witness at this time.
15
         The one thing we would like to do with your leave
         is, if possible, find out if we can get a properly
16
17
         certified copy of Exhibit 10 which was the report
18
         of the Forest Preserve Committee of 1894 and
         introduce it into the record from a certified
19
2.0
         copy. The witness has already testified about it.
         All we're lacking is a copy for the record.
21
22
         assume we can track it down and provide it in a
23
         timely manner.
                   THE COURT: So your question to me is
24
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whether I will allow that in the doctor's absence 1 2 or after his testimony? 3 MR. CAFFRY: Correct. THE COURT: Miss Simon. 5 MS. SIMON: If he has a proper 6 certification from the state of New York of the 7 Constitutional Convention record, the full record, then that's what it is. Is that -- maybe I 9 misunderstand the statement but, yes, that would 10 be okay if it's a certified record from the state. 11 MR. CAFFRY: Your Honor, I think the 12 problem we had with it earlier today and why it 13 wasn't admitted is because the witness wasn't 14 positive if it was bound into a volume with the 15 label printed on the cover that said Revised 16 Record or if it was in a separate but related 17 volume with a different title on it. 18 THE COURT: It was not clear whether it 19 was part of the actual Revised Record to my 2.0 recollection. MR. CAFFRY: Correct. And I believe if 21 22 it had been, then it would have come in pursuant 23 to the stipulation. If it wasn't, I would suggest that if we can get a properly certified copy from 24

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the state archives or wherever it may reside, that it be allowed in at that time.

THE COURT: Well, just speaking out loud for a moment, if there is a properly certified copy from the state archives and it is a state record and an ancient record, perhaps ancient record, perhaps, that may well be sufficient foundation for it to be admitted assuming relevance. I will say that the doctor's testimony at this point has not been completely clear as to his knowledge or understanding of that foundation itself. So certainly I'm going to consider it under all of the reservations that I've already expressed. Beyond that, I don't know what -we'll have to wait and see what you have when you bring it and see where it goes. If there is any other questions you would like to ask Dr. Terrie to attempt to lay whatever foundation you may need for it, if there is anything further to ask him about it now that you would like to ask in order to save your time and then proffer it if you get it, that's fine by me if you ask him some more questions on the record now.

MR. CAFFRY: If we were to obtain a

1 certified record, would that be something you 2 could take judicial notice of? MS. SIMON: May I be heard? We agreed 3 in our stipulation that if the Court would take judicial notice, that all of these Constitutional 5 6 Convention records the Court could take judicial 7 notice of. It is not necessary to put them in the record. This is legal research. This could be 9 put in our memos of law. This has been done 10 already. These are all legal issues. These are 11 all legal arguments. 12 THE COURT: You have been clear on that 13 point. 14 MS. SIMON: I'm sorry. 15 THE COURT: I would be willing to take 16 judicial notice, Mr. Caffry. 17 MR. CAFFRY: So if we can obtain that 18 and perhaps as assistance to you, we could provide 19 that to you for your ability to take judicial 2.0 notice of it. 21 THE COURT: I would be willing to do so 22 assuming that I agree with you and not Ms. Simon 23 as to whether that is relevant at all in this 24 proceeding.

```
1
                   MR. CAFFRY: As her ongoing objection to
 2
         a lot of these issues.
                   THE COURT: Exactly. Yes. Okay?
 3
                   MR. CAFFRY: That's satisfactory.
                                                       Then
         we have no further questions of Dr. Terrie at this
 5
 6
         time.
 7
                   THE COURT: Good enough. Now, do you
         have a file of Dr. Terrie that is available for
         Miss Simon to review?
 9
10
                   MR. CAFFRY: He has a file up there with
11
         him.
12
                   MS. SIMON: Could I get a copy of
13
         everything?
14
                   THE COURT: Well, typically what's done
15
         is that the expert provides you the file itself
         and you're able to take some time to go through
16
         it. I'll give you as much time as you need.
17
18
         Hopefully we can move things along. But we don't
         typically make copies of the file.
19
2.0
                   MS. SIMON: Okay. So are you saying
         that that should be done right now or we would do
21
22
         it when his testimony is done?
23
                   THE COURT: I would assume -- it's up to
24
         you, Miss Simon. Typically it is done at the
```

1 conclusion of the direct so that you can have it, 2 have the file, and you are able to refer to any questions that you have from looking at the file 3 and going through it before you do your cross 5 examination. 6 MS. SIMON: Okay. So we could take five 7 minutes? THE COURT: We can. Let me know when 8 9 you're done, okay? All right. Doctor, you may step down. Please provide the file to Ms. Simon 10 11 for her review. Ms. Simon, hopefully around ten 12 minutes, but if you need more time -- hopefully 13 around five but if you need ten or more time, let 1 4 me know. 15 Folks, we're going to take at least a five-minute recess. 16 17 (Recess taken after which the 18 proceedings continued as follows.) 19 THE COURT: All set, folks? 2.0 MS. SIMON: Yes. 21 THE COURT: All right. Doctor. 22 (The witness resumed the witness stand.) 23 THE COURT: Miss Simon, go ahead. 24

## 1 CROSS EXAMINATION BY MS. SIMON: I just have a few yes-or-no questions. 3 first is, isn't it true that there was no discussion in the 1894 Constitutional Convention indicating that 5 cutting of trees to facilitate recreational use of the Forest Preserve was prohibited? 7 That is true. Α 9 Next question. Wasn't the concern expressed 10 at the convention related to commercial exploitation of 11 timber? 12 MR. CAFFRY: Objection. 13 THE COURT: Let me hear that question 14 again. I couldn't tell if it was -- just read it 15 again, please. 16 (Last question read by the reporter.) 17 THE COURT: What's your objection? 18 MR. CAFFRY: She said the concern. As I 19 recall, the witness testified to multiple concerns 2.0 and therefore I object to the form of the 21 question. 22 THE COURT: Sustained. 23 Wasn't a concern expressed at the convention 24 related to commercial exploitation of timber?

```
1
         Α
              Yes.
              Third question. Did the 1915 convention
 2
    propose adding the words "and trees," and trees being
 3
    in quote --
 5
                   MR. CAFFRY: Objection. Beyond the
 6
         scope of direct. He did not testify as to the
 7
         content of the 1915 proposal.
                   THE COURT: Overruled. You may answer.
 8
 9
                   MS. SIMON: I didn't finish the question
10
         actually. Should I finish it?
11
                   THE COURT: Finish it. Go ahead.
12
                   MS. SIMON: I'll say it again.
13
              Did the 1915 convention propose adding the
    words "and trees" after the word "timber" in
1 4
    Article XIV?
15
              No, it didn't. That wasn't the order in
16
17
    which those words were inserted.
18
         Q.
              Did the 1915 convention have any proposal
    with regard to adding trees to Article XIV?
19
2.0
         Α
              Yes.
21
         Q.
              And did it pass?
22
         Α
              Did it pass the convention?
23
              Did it become part of the Constitution?
         0
24
              It became part of the Constitution submitted
```

1 to the people in November of 1915. 2 But did it become part of the Constitution? 0 The whole Constitution was voted down. 3 MS. SIMON: Thank you. No further 5 questions. 6 THE COURT: Any redirect, Mr. Caffry? 7 MR. CAFFRY: No, your Honor. THE COURT: Thank you, Doctor. 9 (Witness excused.) 10 THE COURT: Mr. Caffry. 11 MR. CAFFRY: Yes, your Honor. 12 indicated in my opening statement, we would like 13 to read into evidence excerpts from the deposition 1 4 transcripts of certain employees of the defendants 15 on one particular issue, that being the question of this being a system of trails, what I would 16 17 refer to as a unified system. We have provided to 18 Ms. Simon copies of the pages that I intend to read from and also a list of the pages that I 19 2.0 intend to read from. That was provided today but I did previously send her a letter a week ago, two 21 22 weeks ago, saying that this was my intent and 23 telling her it was the same pages that were 24 attached to our motion for summary judgment which

papers she did have to narrow it down for her, and then today we presented her with copies of that.

I will also say that these pages that we presented her with include the other topics that I am not going to read about today but just by way of introduction of what I am going to read.

THE COURT: Miss Simon.

1 4

2.0

MS. SIMON: Your Honor, I sent an e-mail to Mr. Caffry about 8:00 last night asking him to produce the information he intends to read and to identify in which transcripts what he intends to enter. Today in court I was handed a handwritten list of those items. I have not had a chance to review them. I have numerous objections to entering these transcripts. I don't know if you want to entertain them now or you want to do them one at a time as we go along, but my first question would be for what purpose is he offering them?

THE COURT: Mr. Caffry.

MR. CAFFRY: I am offering them as part of my case in chief or my direct case as evidence -- as testimony by employees of the defendants under 3211(a)(2), I believe it is, and

under that section of the CPLR I have the right to use the deposition transcripts of the defendants' employees for any purpose whatsoever.

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THE COURT: Well, they still have to be relevant.

MR. CAFFRY: They must be relevant.

THE COURT: That's why Miss Simon is asking and I was asking for you to respond to. Go ahead, Mr. Caffry.

MR. CAFFRY: The question of whether or not this is a system of trails, and I would also plan to read from the complaint and the answer on that same subject, admissions by the defendants. There was an allegation in the complaint where what it relates to really is an issue that Ms. Simon touched on in her opening statement and has been an issue throughout this case as different trail segments have been noticed in the environmental notice bullet with proposed tree counts, and we have applied for preliminary injunctions or TROs that the State responds as if each individual trail or each individual segment of a trail should somehow be considered in isolation from the whole. We believe that it is

more appropriate to consider it as a full system and, for instance, they talked about in her opening statement, Miss Simon talked about it being a de minimus portion of the Forest Preserve, whether you look at the Forest Preserve as a whole or as the individual section. So in her opening statement she recognized that this could be looked at two different ways. They have for their own purposes accumulated these numbers in certain filings to date. We assume they will do so in their proof and so what I want to offer these for is the fact that they have made admissions that this is a system, it's not merely random unconnected trails or little segments of trails.

1 4

2.0

THE COURT: Miss Simon.

MS. SIMON: Your Honor, this isn't about all trails ever to be built called Class II. This is only about this case. It's limited to Class II trails for which construction began on January 1st, 2012 or commenced by 10/15/2014 as per Judge Ceresia's 10/15/2014 decision and as per your subsequent decisions. Future trails are not within the scope of this case, only the trails for which construction commenced in that period of

1 time are at issue.

1 4

2.0

THE COURT: That is correct, to make it clear. Please continue.

MS. SIMON: DEC employee opinions on a larger system are not relevant here and I object on the basis also of not just relevance but the fairness doctrine. I need an opportunity to look at what he's trying to put in and decide if there is anything I would have then read into the record. I was not provided with these well enough in advance. I got the list of citations by handwritten document handed to me today.

THE COURT: Okay.

 $\mbox{MS. SIMON:} \mbox{ I object on a number of} \\ \mbox{bases.} \mbox{ Thank you.}$ 

THE COURT: I'll give you the time to review those to voice your specific objections as you wish. So I'm not denying you your opportunity to attempt to proffer those but I'm going to give Miss Simon time to review those particular areas that you have now identified for her in detail because it's a nonjury trial and she's got to have time to voice her objections. Do you have anything else ready to go today, Mr. Caffry?

1 MR. CAFFRY: No, I don't, your Honor. 2 This was intended to be the last item today. 3 THE COURT: I appreciate that. were in front of a jury, frankly, I would try and move it along more and my ruling might be 5 6 different. I would say she needs to look at them quickly and we need to make some decisions, but 7 because we're nonjury, I want to give her a chance 9 to look at those more carefully. 10 MR. CAFFRY: Overnight? 11 THE COURT: Overnight is correct. 12 MR. CAFFRY: Okay. So just to be clear, 13 please, depending upon her having had time to 14 review them, I would anticipate, given a favorable 15 ruling from you, being able to read them at the beginning of business tomorrow. 16 THE COURT: Correct. 17 18 MR. CAFFRY: Thank you. 19 THE COURT: Yes. 2.0 MS. SIMON: Your Honor, I wanted to just raise one other issue before we break today and 21 22 bring it to the Court's attention. I don't have 23 the list of exhibits that may be presented 24 tomorrow. I don't have the copies of those

exhibits and everything I got today was not numbered. I don't say this to criticize. I understand it's a difficult process but it makes it difficult for me to properly respond to these matters. So I would appreciate if the Court could direct the plaintiffs to please give me a list of documents. We did talk briefly during the break that are also marked by exhibit numbers so I know what they are and can identify and get an updated exhibit list from them that's not handwritten.

THE COURT: Okay.

2.0

MR. CAFFRY: Your Honor, may I respond, please. I guess I would attribute this, your Honor, to a lack of communication between the parties. We're not aware of any rule in the CPLR or anywhere else, the local rules, that requires a witness list, that requires us to provide exhibits prior to attempting to introduce them so long as they have been produced in discovery overall in general with limited exceptions, nor was there any order regarding that. Having said that, we are doing our very best to remedy that going forward. We intend to today give Miss Simon a list and copies and label them for her so that she has them

What I cannot tell you is that this is going to be the whole list going forward. As we prepare our witnesses, etcetera, the lists are going to vary. Some of these witnesses have thousands of photos, for instance. So we will try to get it to her as soon in advance as we can but we can't say we're

going to give a definite list from now until the

in the fashion that she feels would be useful.

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end. But we're going to do our best.

THE COURT: I'm going to deny your application for a direction of the Court for the same reason already stated by Mr. Caffry which is that there is not a requirement in the CPLR that that occur or in case law that I am aware of but it may fall within the Court's ability to control the course and conduct of trial. He is correct in implying that the exigencies of trial, witness preparation, sometimes make that impossible and I am not going to issue an order that can then be used against him. I was going to request what Mr. Caffry has already stated he will give and I will request the same of you and I appreciate that you have made that offer, that courtesy. I know the first day of a long trial like this can often

```
1
         be difficult until we get the momentum going.
 2
         But, please, we've got some time now, you will
 3
         have some time tomorrow morning, do the best you
         can for all of our sakes so that both sides -- I
         know you both know each other's cases very well in
 5
         any event. I don't believe for a moment that
 6
 7
         either side is trying to spring any new
         information on the other side at this trial but
 9
         rather just trying to develop their entire cases.
10
         But it will move along better for all of us,
11
         separate and apart from my need to occasionally
12
         leave the courtroom, it will move better for all
         of us if you try to share as best you can and work
13
14
         together. So I appreciate your offer, Mr. Caffry.
15
         I'm sure Miss Simon does as well and we'll see you
16
         tomorrow morning -- what time did I say?
17
         Try to be here early to work together with each
18
         other on what we've discussed but I will not -- I
19
         have an oral argument that may take some time
         that's starting at 9:15. I'd be surprised if I'm
2.0
21
         done before 10:15. Okay?
22
                   MR. CAFFRY: Excuse me, your Honor.
23
         10:15 you said?
24
                   THE COURT: Yes.
                                     Try to be here earlier
```

1	than that but I'd be surprised if I'm able
2	we'll say 10:15 for safety. Okay?
3	MS. SIMON: Thank you, your Honor.
4	MR. CAFFRY: Thank you.
5	THE COURT: Thank you, folks.
6	(Plaintiffs' Exhibits 28 through 77
7	marked for identification.)
8	(Proceedings adjourned at 3:41 p.m.)
9	
10	
11	<u>CERTIFICATION</u>
12	
13	I, Cindy Affinati, Official Court Reporter for
1 4	the Unified Court System, Third Judicial District of
15	the State of New York, do hereby certify that I
1 6	attended and reported the foregoing proceedings; that
17	it is a true and accurate transcript of the proceedings
18	had therein to the best of my knowledge and ability.
19	
2 0	Cerid, affinal
21	Cindy Affinati Official Court Reporter
22	March 28, 2017
2 3	
2 /	

I N D E X

March 1, 2017 - Pages 1 - 130

Opening by Mr. Caffry - 8

Opening by Ms. Simon - 16

W I T N E S S E S

PLAINTIFF'S WITNESSES Direct Cross Redirect Recross

PHILIP TERRIE

2 3